

Government of Nepal
Ministry of Urban Development
Department of Urban Development and Building Construction
Project Coordination Office
Babarmahal, Kathmandu

**Quarterly Progress
Report: 03**

15 October
2018

ADB Loan: 3566-NEP (COL)
Regional Urban Development Project
Project no: 47252-002

Project Management Consultant
Tel: [977-1-4262465](tel:977-1-4262465)
Email: rudp.pmc@gmail.com

ERMC (P) Ltd.
New Baneshwor, Kathmandu
Tel: [977-1-4483064/4465863](tel:977-1-4483064/4465863)
Fax: [977-1-4479361](tel:977-1-4479361)
Email: safe@ermc.wlink.com.np
Website: www.ermcnepal.com

TABLE OF CONTENTS

A. Introduction and Basic Data:	1
B. Utilization of Funds:.....	3
C. Project Purpose:.....	4
D. Implementation Progress:.....	8
E. Compliance with Loan Covenants:.....	10
F. Major Project Issue and Problem:.....	11

LIST OF TABLES

Table A.1, Summary of proposed Financial Plan, million	2
Table A.2, Summary of Estimated Project Cost, million	2
Table A.3, Committed budget for FY 2017/18, (US\$ million)	2
Table B.1, Cumulative Contract awards (US\$ mill) as of 15 April 2018	3
Table B.2, Cumulative Disbursement of Loan and Counterpart Fund (US\$ mill) as of 15 April 2018.	3
Table B.3, Procurement Packages and their status	3

LIST OF FIGURES

Fig 1: Contract and Disbursement S-Curve

ATTACHMENTS

Attachment 1:	Time Bound Agree Action Plan (12 January-2 February 2018)
Attachment 2:	Status of Loan Utilization
Attachment 3:	Status of ongoing Contract Packages
Attachment 4:	Status of Key Counterpart Staff in Implementing Units
Attachment 5a:	Status of Environmental Safeguard during Planning Design stage
Attachment 5b:	Status of Environmental Safeguard during Implementation stage
Attachment 5c:	Status of EMP Compliance
Attachment 6a:	Involuntary Resettlement Planning Status
Attachment 6b:	Resettlement Plan Implementation Status
Attachment 6c:	GESI Action Plan
Attachment 7:	Design and Monitoring Framework: Overall Project Implementation Plan (Revised)
Attachment 8:	Physical Progress Monitoring and Reporting Matrix
Attachment 9:	Contract and Disbursement S-curve, US\$ million
Attachment 10:	Status of Compliance with Loan Covenants
Attachment 11:	Major Issues and Proposed Action
Attachment 12:	Planned and Actual Subproject components of Non P7 municipalities
Attachment 13:	Planned and Actual Subproject components of P7 municipalities
Attachment 14:	Project Result and Profile
Attachment 15a:	Procurement Plan for Consulting Services
Attachment 15b:	Procurement Plan for Works
Attachment 15c:	Procurement Plan for Goods/Small Works
Attachment 16:	Status of Grievances, P7 Project Municipalities

PHOTOGRAPHS

List of Acronyms and Abbreviations

ADB	Asian Development Bank
CAO	Chief Administrative Office
CBO	Community Based Organization
CDO	Chief District Officer
CLO	Central Level Organization
DDS	Detailed Design and Supervision
DEDR	Detailed Engineering Design Report
DSC	Design and Supervision Consultant
DUDBC	Department of Urban Development and Building Construction
DPD	Deputy Project Director
DTL	Deputy Team Leader
EA	Executing Agency
EARF	Environmental Assessment and Review Framework
EIA	Environmental Impact Assessment
EMMP	Environmental Monitoring Management Plan
EMP	Environmental Management Plan
ERMC	Environment and Resource Management Consultant (P) Ltd.
ES	Executive Secretary
GESI	Gender Equality and Social Inclusion
GIS	Geographic Information System
GoN	Government of Nepal
GRC	Grievance Redress Committee
GRM	Grievance Redress Mechanism
IA	Implementing Agency
IEE	Initial Environmental Examination
IPT	Integrated Property Tax
LA	Loan Agreement
PBER	Price Bid Evaluation Report
PBSEDP	Performance Based Socio Economic Development Program
MC	Metropolitan City
MAP	Management Action Plan
MoFAGA	Ministry of Federal Affairs and General Administration
MoPE	Ministry of Population and Environment
MOF	Ministry of Finance
MoUD	Ministry of Urban Development
MoPIT	Ministry of Physical Infrastructure and Transport
MPCC	Municipal Project Coordination Committee

NPC	National Planning Commission
NRs	Nepalese Rupees
O&M	Operation and Maintenance
PA	Project Advisor
PCO	Project Coordination Office
PAM	Project Administration Manual
PMC	Project Management Consultant
PISU	Project Implementation Support Unit
PIU	Project Implementation Unit
PM	Project Manager
PPMS	Project Performance Management System
PPP	Public Private Partnership
PPTA	Project Preparation Technical Assistance
PSC	Project Steering Committee
PD	Project Director
QPR	Quarterly Progress Report
QA/QC	Quality Assurance/Quality Control
RCC	Reinforced Cement Concrete
RF	Resettlement Framework
RP	Resettlement Plan
RRP	Report and Recommendation of the Project
RUDP	Regional Urban Development Project
SAP	Sanitation Action Plan
SDR	Special Drawing Rights
SMC	Sub-Metropolitan City
SMR	Safeguard Monitoring Report
SPS	Safeguard Policy Statement
TA	Technical Assistance
TBER	Technical Bid Evaluation Report
ToR	Terms of Reference
TL	Team Leader
VAT	Value Added Tax

A. INTRODUCTION AND BASIC DATA:

Project Title	Regional Urban Development Project (RUDP)
ADB Loan number and source of fund	3566-NEP (COL), ordinary capital resource of ADB
Project number	47252-002
Department /Division	SARD/SAUW
Borrower	Government of Nepal
Executing agencies	Ministry of Urban Development (MOUD), Department of Urban Development and Building Construction (DUDBC)
Implementing Agencies	Group A Municipalities: Biratnagar, Birgunj, Siddarthanagar and Nepalgunj, and Group B (P7) municipalities: Dhangadhi, Bheemdutt, Godawari and Suklaphanta through Kailali Division Office, DUDBC.
Sector	Water and other urban infrastructure and service
Subsector	Other urban services, urban flood protection, urban policy, institutional and capacity development, urban sanitation, urban solid waste management, and urban water supply.
Strategic Agenda	Inclusive economic growth (IEG), Environmentally sustainable growth (ESG), and Regional integration (RI)
Drivers of Change	Governance and capacity development (GCD)
Components	Institutional development, Organizational development, and Public financial governance
Gender Equity and Mainstreaming	Effective gender mainstreaming (EGM)
SDG Goals	SDG5, SDG6 and SDG11
Risk Categorization	Low
Safeguard Categorization	Environment: B, Involuntary resettlement: B, and Indigenous People: B.
Financing Plan	ADB's contribution as a concessional loan, in the amount of SDR 108,008,000.00 or US\$ 150.00 million from its ordinary capital resources, will represent 70.1% of the total cost of the project. The loan will have a 32 years term, including 8 years grace period. The interest for grace period is 1% per annum and 1.5 % per annum thereafter. The local currency cost to be financed by ADB, which will cover some portion of civil work, consulting services, recurrent costs (excluding taxes), is estimated to be US\$150.00 million. Local currency financing of US\$ 64.00 million or approximately 29.9% of the total project cost, will be provided by the counterpart fund (local and GoN) and will cover a part of the cost of civil work, taxes and recurrent costs. The project municipalities will contribute US\$ 7.9. million or around 3.39% of the total project cost. The proposed financing plan is summarized below.

	<p align="center">Table A.1, Summary of proposed Financial Plan, million</p> <table border="1"> <thead> <tr> <th align="center">Modality and sources</th> <th align="center">US\$</th> <th align="center">%</th> </tr> </thead> <tbody> <tr> <td>ADB</td> <td align="right">150.00</td> <td align="right">70.10</td> </tr> <tr> <td>Sovereign Project (Concessional loan): Ordinary capital resources</td> <td align="right">150.00</td> <td></td> </tr> <tr> <td>Counterpart</td> <td align="right">64.00</td> <td align="right">29.90</td> </tr> <tr> <td>Government of Nepal</td> <td align="right">56.10</td> <td align="right">26.21</td> </tr> <tr> <td>Municipalities</td> <td align="right">7.90</td> <td align="right">3.69</td> </tr> <tr> <td align="center">Total</td> <td align="right">214.00</td> <td align="right">100.00</td> </tr> </tbody> </table> <p><i>Source: PAM</i></p>	Modality and sources	US\$	%	ADB	150.00	70.10	Sovereign Project (Concessional loan): Ordinary capital resources	150.00		Counterpart	64.00	29.90	Government of Nepal	56.10	26.21	Municipalities	7.90	3.69	Total	214.00	100.00			
Modality and sources	US\$	%																							
ADB	150.00	70.10																							
Sovereign Project (Concessional loan): Ordinary capital resources	150.00																								
Counterpart	64.00	29.90																							
Government of Nepal	56.10	26.21																							
Municipalities	7.90	3.69																							
Total	214.00	100.00																							
Estimated project cost	<p align="center">Table A.2, Summary of Estimated Project Cost, million</p> <table border="1"> <thead> <tr> <th align="center">Description of Item</th> <th align="center">US\$</th> </tr> </thead> <tbody> <tr> <td>A. Base Cost</td> <td align="right">180.60</td> </tr> <tr> <td>1 Urban infrastructure developed</td> <td align="right">160.60</td> </tr> <tr> <td>2 Municipal capacity strengthened</td> <td align="right">13.90</td> </tr> <tr> <td>3 Urban planning and project preparation capacity</td> <td align="right">6.00</td> </tr> <tr> <td>B. Contingencies</td> <td align="right">27.30</td> </tr> <tr> <td>C. Financial Charges During Implementation</td> <td align="right">6.20</td> </tr> <tr> <td>Total</td> <td align="right">214.00</td> </tr> </tbody> </table> <p><i>Source: Report and Recommendation of President to the Board of Directors</i></p>	Description of Item	US\$	A. Base Cost	180.60	1 Urban infrastructure developed	160.60	2 Municipal capacity strengthened	13.90	3 Urban planning and project preparation capacity	6.00	B. Contingencies	27.30	C. Financial Charges During Implementation	6.20	Total	214.00								
Description of Item	US\$																								
A. Base Cost	180.60																								
1 Urban infrastructure developed	160.60																								
2 Municipal capacity strengthened	13.90																								
3 Urban planning and project preparation capacity	6.00																								
B. Contingencies	27.30																								
C. Financial Charges During Implementation	6.20																								
Total	214.00																								
Project Financial status and Counter Part Funds	<p>Project budget and counterpart funds available for FY 2018/19 is as follows:</p> <p align="center">Table A.3, Committed budget for FY 2018/19, (US\$ million)</p> <table border="1"> <thead> <tr> <th align="center">Implementing Agencies</th> <th align="center">Total Budget</th> <th align="center">ADB Loan</th> <th align="center">Counterpart fund</th> </tr> </thead> <tbody> <tr> <td>PCO</td> <td align="right">1.8878</td> <td align="right">1.5709</td> <td align="right">0.3169</td> </tr> <tr> <td>RPIU</td> <td align="right">0.1982</td> <td align="right">0.1095</td> <td align="right">0.0887</td> </tr> <tr> <td>Non P7 municipalities</td> <td align="right">5.5187</td> <td align="right">4.3068</td> <td align="right">1.2119</td> </tr> <tr> <td>P7 municipalities</td> <td align="right">37.4930</td> <td align="right">31.8250</td> <td align="right">5.6680</td> </tr> <tr> <td align="center">Total</td> <td align="right">45.0977</td> <td align="right">37.8022</td> <td align="right">7.2855</td> </tr> </tbody> </table> <p><i>Source: Revised RUDP Plans and Programs for FY 2017/18</i></p>	Implementing Agencies	Total Budget	ADB Loan	Counterpart fund	PCO	1.8878	1.5709	0.3169	RPIU	0.1982	0.1095	0.0887	Non P7 municipalities	5.5187	4.3068	1.2119	P7 municipalities	37.4930	31.8250	5.6680	Total	45.0977	37.8022	7.2855
Implementing Agencies	Total Budget	ADB Loan	Counterpart fund																						
PCO	1.8878	1.5709	0.3169																						
RPIU	0.1982	0.1095	0.0887																						
Non P7 municipalities	5.5187	4.3068	1.2119																						
P7 municipalities	37.4930	31.8250	5.6680																						
Total	45.0977	37.8022	7.2855																						
Dates of Approval of Loan	22 September 2017																								
Date of Signing	27 November 2017																								
Dates of Effectiveness of Loan	8 December 2017																								
Original Loan closing date	30 June 2023																								
Elapsed Loan period	7 month or 10.45%																								
Last Date of ADB review mission	12 January - 02 February 2018: Status of time bound agreed Action Plan of last review mission is given in Attachment 1 .																								

B. UTILIZATION OF FUNDS:

<p>Cumulative contract awards and comparison with time bound projection (targets)</p>	<p>As per S-Curve the targeted cumulative contract award as of September 2018 is US\$ 83.00 million. A summary of Loan utilization is shown in attachment 2.</p> <p>Table B.1, Cumulative Contract awards (US\$ mill) as of September 2018.</p> <table border="1"> <thead> <tr> <th>Implementing agencies</th> <th>Total contract award</th> <th>ADB share</th> <th>Counterpart share</th> </tr> </thead> <tbody> <tr> <td>PCO</td> <td>5.143</td> <td>5.065</td> <td>0.0779</td> </tr> <tr> <td>Non P7 municipalities</td> <td>86.731</td> <td>61.859</td> <td>24.873</td> </tr> <tr> <td>RPIU</td> <td>0.0119</td> <td>0.0101</td> <td>0.0017</td> </tr> <tr> <td>P7 municipalities</td> <td>0.3454</td> <td>0.2813</td> <td>0.064</td> </tr> <tr> <td>Total</td> <td>92.23</td> <td>67.22</td> <td>25.015</td> </tr> </tbody> </table> <p><i>Note: Exchange rate is US\$ 1:00 = 102.868 shown in the Report and Recommendation of President to the Board of Directors</i></p> <p>The cumulative contract award (ADB Share) is 19.01% less than the targeted cumulative contract award (as of September 2018).</p>	Implementing agencies	Total contract award	ADB share	Counterpart share	PCO	5.143	5.065	0.0779	Non P7 municipalities	86.731	61.859	24.873	RPIU	0.0119	0.0101	0.0017	P7 municipalities	0.3454	0.2813	0.064	Total	92.23	67.22	25.015		
Implementing agencies	Total contract award	ADB share	Counterpart share																								
PCO	5.143	5.065	0.0779																								
Non P7 municipalities	86.731	61.859	24.873																								
RPIU	0.0119	0.0101	0.0017																								
P7 municipalities	0.3454	0.2813	0.064																								
Total	92.23	67.22	25.015																								
<p>Cumulative disbursements of loan and counterpart funds (expenditure to date) and comparison with time bound projections (targets)</p>	<p>The project has not encountered any problems with respect to Project Financing, both ADB and counterpart share are readily available. The targeted Total disbursement as of September 2018 is US\$ 31.0 million.</p> <p>Table B.2, Cumulative Disbursement of Loan and Counterpart Fund (US\$ mill) as of September 2018.</p> <table border="1"> <thead> <tr> <th>Implementing agencies</th> <th>Total Disbursement</th> <th>ADB share</th> <th>Counterpart share</th> </tr> </thead> <tbody> <tr> <td>PCO</td> <td>1.8690</td> <td>1.6972</td> <td>0.1718</td> </tr> <tr> <td>Non P7 municipalities</td> <td>32.1817</td> <td>22.9281</td> <td>9.2536</td> </tr> <tr> <td>RPIU</td> <td>0.0378</td> <td>0.0190</td> <td>0.0188</td> </tr> <tr> <td>P7 municipalities</td> <td>0.7360</td> <td>0.1837</td> <td>0.5523</td> </tr> <tr> <td>Total</td> <td>34.8245</td> <td>24.80</td> <td>9.9965</td> </tr> </tbody> </table> <p>The cumulative disbursement (ADB share) till September 2018 is 20.0% lower than the targeted disbursement.</p>	Implementing agencies	Total Disbursement	ADB share	Counterpart share	PCO	1.8690	1.6972	0.1718	Non P7 municipalities	32.1817	22.9281	9.2536	RPIU	0.0378	0.0190	0.0188	P7 municipalities	0.7360	0.1837	0.5523	Total	34.8245	24.80	9.9965		
Implementing agencies	Total Disbursement	ADB share	Counterpart share																								
PCO	1.8690	1.6972	0.1718																								
Non P7 municipalities	32.1817	22.9281	9.2536																								
RPIU	0.0378	0.0190	0.0188																								
P7 municipalities	0.7360	0.1837	0.5523																								
Total	34.8245	24.80	9.9965																								
<p>Procurement</p>	<p>The project has procured 4 ICB packages for civil work, and 4 packages for consulting services (QCBS: equivalent to 1164 person month). The contract awards are the agreement signed with the consultants and contractors. The details are given in Attachment 3.</p> <p>Table B.3, Procurement Packages and their status</p> <table border="1"> <thead> <tr> <th rowspan="2">Procurement Type</th> <th rowspan="2">Package Type</th> <th colspan="2">Number of contracts or person month</th> </tr> <tr> <th>Planned</th> <th>Achieved</th> </tr> </thead> <tbody> <tr> <td rowspan="4">Works and Equipments</td> <td>ICB</td> <td>8 contracts</td> <td>4 contracts</td> </tr> <tr> <td>NCB</td> <td>2 contracts</td> <td>1 contract</td> </tr> <tr> <td>Shopping</td> <td>9 contracts</td> <td>3 contracts</td> </tr> <tr> <td>Community Procurement</td> <td>40 contracts</td> <td>-</td> </tr> <tr> <td rowspan="2">Consulting Services</td> <td>QCBS</td> <td>2,263 person-months (PM)</td> <td>1164 PM (4 packages)</td> </tr> <tr> <td>ICS</td> <td>27 person-months</td> <td>-</td> </tr> </tbody> </table> <p><i>Source: Report and Recommendation of President to the Board of Directors</i></p>	Procurement Type	Package Type	Number of contracts or person month		Planned	Achieved	Works and Equipments	ICB	8 contracts	4 contracts	NCB	2 contracts	1 contract	Shopping	9 contracts	3 contracts	Community Procurement	40 contracts	-	Consulting Services	QCBS	2,263 person-months (PM)	1164 PM (4 packages)	ICS	27 person-months	-
Procurement Type	Package Type			Number of contracts or person month																							
		Planned	Achieved																								
Works and Equipments	ICB	8 contracts	4 contracts																								
	NCB	2 contracts	1 contract																								
	Shopping	9 contracts	3 contracts																								
	Community Procurement	40 contracts	-																								
Consulting Services	QCBS	2,263 person-months (PM)	1164 PM (4 packages)																								
	ICS	27 person-months	-																								

C. PROJECT PURPOSE:

Description of Project Impact	Status of Project Impact
Sustainable, inclusive, and resilient urban areas developed; and balanced and prosperous sub-national urban system achieved.	Early to assess
Description of Project Outcome	Status of Project Outcome
Quality, sustainability, and disaster resilience of urban services, and competitiveness in eight municipalities of the Terai region improved.	Early to assess
Description of Output 1	Status of Output 1
Urban infrastructure in 8 municipalities constructed or rehabilitated with climate-resilient and sustainable designs; (a) construction and/or rehabilitation of about 200 km of storm water drains; (b) improvement of about 240 km key roads with urban design features, and at least 40 km of footpaths; (c) construction of sanitary landfills and resource recovery centers through O&M embedded contracts; (d) construction of about 20 km of sewers in Biratnagar; and (e) construction of septage treatment facilities in Dhangadhi, Bheemduta, Godawari and Suklaphanata municipalities.	Contracts have been awarded (Attachment 3) to about a) 72.763 km storm water drainage; b) 166.61 km urban roads & 79.11 km footpaths; and d) 20.82 km sewers line. As of 30 September 2018, work of about a) 4.95 km storm water drainage; b) 26.402 km key urban roads & 1.2 km footpath; and d) 8.191 km sewers have been completed.
Description of Output 2	Status of Output 2
Strengthening the capacity of the Municipalities, including: a) ensure that at least 30% of the PBSEDP is spent on socio-economic infrastructure and GESI-related activities; b) ensure that urban master plans, including poverty pockets and planning and building bylaws with disaster risk resilience features are adopted in P7 project municipalities; c) ensure that O&M plans are implemented in all project Municipalities; d) ensure that GIS-based house numbering system and utility database are operationalized in all project Municipalities; e) introduce or strengthen integrated property tax system; f) construct energy-efficient and inclusive municipal office buildings in Godawari and Suklaphanta municipalities; and g) ensure that the improved organizational structure, including a social development section, for all project municipalities is approved by the respective Municipal councils.	a) Out of total 1 st year PBSEDP expenditure 15.61% has been spent (overall) on socio-economic infrastructure and GESI related activities. Godawari and Suklaphanta municipalities spent more than 30.0% on these sub-components. b) However, it is too early to assess to other sub outputs.
Description of Output 3	Status of Output 3
Improving urban planning and project preparation capacity, including (a) ensuring adoption and operationalization of the project development facility guidelines and operational manual for the new Urban Planning and Development Center in DUDBC; (b) preparing pre-feasibility study and detailed projects for multi-sector, sub-regional investment programs; and (c) preparing at least two detailed project reports in solid waste management, drainage, roads, water supply and/or sanitation.	A separate entity has been established to conduct the work described.

Description of Implementation Arrangements	Status of Implementation Arrangements
<p>The executing agency is the Ministry of Urban Development (MOUD). The DUDBC under MOUD will host the project coordination office for the project, as well as the regional project implementation unit (R-PIU) for the P7 subprojects, which includes multi-city contracts. Municipalities will be the implementing agencies for their respective subprojects other than P7. P7 project municipalities will form project implementation support units (PISU). An interagency project steering committee (PSC) chaired by the secretary of MOUD will meet at least quarterly.</p>	<p>Need of some adjustment due to changes in Act and people's representatives in place: i) Chief Executive Officer (CEO) to Chief Administrative Officer (CAO), ii) Chair, Municipal Project Coordination Committee (MPCC): CEO to Mayor, and iii) MPCC member: women groups to 2 women members. Rest is not changed in the implementation arrangements originally proposed. The required logistics and human resources (Attachment 4) are in place in all implementing units.</p>
Description of objectives	Status of Objectives
<p>The objective of the Project is to achieve socio-economic transformation in the project Municipalities through managed urbanization.</p>	<p>Early to assess</p>
Description of Key Assumptions and Risks	Rating and Status of Key Assumption and Risks
<p>Weak institutional capacity of municipalities to manage their finances, including accounting and audits, and to increase own-source revenue</p> <p>Insufficient municipal counterpart funding for the project in the annual budget</p> <p>Intimidation, collusion and extortions in procurement are reportedly widespread, particularly in the Terai</p> <p>Lack of oversight and monitoring of performance of contractors and suppliers by RPIU/PIUs</p> <p>Absence of elected mayors, and high turnover of executive officers deputed by MOFALD to the municipalities may undermine top-level commitment and delay decision making</p>	<p>Substantial to Moderate. Non P7 municipalities have already started institutional strengthening of municipalities including CFMIP. The PMC has started monitoring and providing required guidance.</p> <p>The institutional development consultant (IDC) under the project will build the institutional and financial management capacities of Province 7 municipalities</p> <p>Substantial to Moderate. All project municipalities have allocated their contribution amount in the budget to the project and have started implementing the CFMIP. The PMC has started its support for implementation and monitoring.</p> <p>Substantial to Moderate. The PCO, with support from the PMC procurement expert, will assess the risk before fixing the bid submission venue. PCO and RPIU/PIUs will disclose procurement-related information, such as invitations for bids and basic details on the bidding procedures adopted. PCO and RPIU/PIUs will include procurement related information in the Web Site of PCO.</p> <p>Substantial to Moderate. The PMC and PCO inspect and review the quality and progress of work frequently. Project plans to fund National Vigilance Center to carry out third party technical audit at multiple intervals during project implementation.</p> <p>The PCO is maintaining a database of contractors' performance. PCO reports poorly performing contractors and suppliers to the government authorities for action.</p> <p>Substantial to Moderate. Strong support of elected mayors and other representatives and stakeholders has been confirmed during the project launching.</p>

<p>Behavioral change takes longer than the project timeline</p> <p>Politicians and/or local communities oppose landfill construction</p>	<p>Community participation in all major steps has been ensured for public consensus.</p> <p>Elected bodies have been united in support for local development and are committed to resolving constrains on project implementation.</p> <p>MoFAGA shall ensure continuity of the chief administrative officers and shall minimize staff turnover. But there is replacement of CAOs at Biratnagar, and Nepalgunj during last (QPR-02) reporting period, and Dhangadhi in this reporting period.</p> <p>Substantial. Extensive education and communication campaigns on solid waste management, property tax collection, and willingness to pay for wastewater management services; and engagement with municipal body and local community leaders to support the behavioral change process, are to be conducted.</p> <p>Substantial to Moderate. Landfill sites have been identified through advertisement, and landowners are willing to sell it.</p> <p>Municipalities are planning and implementing public awareness campaign and exposure visit to gain people's understanding about the project, and change perceptions on the impacts of a modern sanitary landfill.</p>
<p>Description of Key Environmental and Social Requirements</p>	<p>Status of Environmental and Social Requirements</p>
<p>As per Environment Protection Act and Rules 1997 of Nepal, Environmental Impact Assessment (EIA) is required for solid waste management and Initial Environmental Examination (IEE), is required for water supply, storm water drainage and road subprojects.</p> <p>As per EPA-1997 and EPR 1997 Nepal, the statutory requirement for Storm Water Drainage construction in all 8 project municipalities, building construction in Godawari and Suklaphanta and development of SWM in Suklaphanta is IEE, while development of SWM facility in Biratnager, Dhangadhi, Bhimdutta and Godawari is EIA.</p> <p>The Project municipalities are introducing the right of way (RoW) on recommendation of Municipal Transport Management Plan under the road and drainage subproject of RUDP. In order to meet the RoW section, municipalities have to acquire land and part of building owned by the public or private sectors for construction or improvement of the proposed roads. The land required for ISWM facility at Biratnagar has been acquired, whereas land acquisition process to the same for Dhangadhi is under process. Bheemdutt, Godawari and Suklaphanta municipalities have identified ISWM land at a community forest. Similarly, land for municipal building of Godawari and Suklaphanta are readily available. As a requirement, the project has to prepare Resettlement Plan (RP) for road and drainage work at</p>	<p>There are no major environmental (Attachment 5a) issues in Non P7 municipalities. Compliance monitoring of implementation of EMP (Attachment 5c) is being carried out by respective CSCs for Biratnagar MC and Siddharthanagar Municipality, and by PIU of Nepalgunj.</p> <p>Detailed survey and design work with environmental assessment are being carried out in the P7 municipalities. IEE, ToR for Suklaphanta ISWM facility, and road drainage work has been submitted to MoUD for approval.</p> <p>Based on the ADB SPS 2009, CRIPPF, Resettlement Plan for road and drainage work at Birgunj, Nepalgunj, Siddharthanagar and Dhangadhi has been prepared (Attachment 5a). ADB has given approval for RP and it's implementation verification report for Nepalgunj.</p> <p>The GRM at PCO and all eight project municipalities have been formed and record keeping system has been maintained (Attachment 16)</p> <p>The project has prepared consultation and communication plan of ISWM facility for Biratnagar and collected all necessary data to the same for P7 municipalities.</p>

<p>Dhangadhi, Birgunj, Siddharthanagar and Nepalgunj and for ISWM facility at Dhangadhi; DDR for road and drainage work at Biratnagar and Municipal buildings at Godawari and Suklaphant.</p>	<p>Voluntary donation has been accepted for road and drainage subproject construction and improvement work in Biratnagar, Birganj, Nepalganj and Siddharthanagar (Attachment 6b). But there is a complaint at ADB on voluntary donation by a resident of Himalipath, Siddrthanagar. However, the issue has been addressing by the Municipality.</p>
---	---

D. IMPLEMENTATION PROGRESS:

Project Implementation Plan	Status of Project Implementation Plan
<p>Project, being an advance contracting and retroactive financing, was started on IV quarter 2016. While the 5 years' project implementation period has begun since loan effectiveness date, on 8 December 2017. The project is expected to be physically completed by December 2022. Monitoring of project performance and outcome will be until 30 June 2023 including preparation of the project completion report.</p>	<p>The Project has established implementing agencies, procured 4 packages for Consulting services and 4 ICB packages for civil work. Both consultants and contractors have been commencing their services and work (Table B.3). A revised monitoring Framework or overall project implementation plan (revised) is shown in Attachment 7.</p>
Information related to EA's internal operation	
<p>Even though the Project Coordination Office (PCO) under DUDBC was established with an authority equivalent to that of the GON Departments, full authority is yet to be practiced.</p>	
<p>Progress or achievements in Implementation since the last progress report</p>	<p>The financial and physical progress of project during this reporting period (July – September) is 7.51% and 3.26% respectively. The total expenditure recorded in this reporting period is US\$ 15.62 million.</p>
<p>Progress or achievements in Implementation of Project as of reporting period</p>	<p>The cumulative RUDP financial and physical progress of the project (RUDP) as of September 2018 is 16.74% and 18.35% respectively.</p> <p>The cumulative RUDP expenditure as of September 2018 is US\$ 34.8 million out of total project cost US\$ 207.8 (excluding financial charge US\$ 6.2 million). The calculation of overall physical progress is shown in Attachment 8.</p> <p>The monitoring (S-curve) of project in terms of contract award and disbursement progress (ADB Loan) is shown in Attachment 9.</p>
<p>Consultants and their performance</p>	<p>Out of total 2290 proposed PM for consulting services 1164 PM or 4 packages at the cost of Nrs. 619,084,836.31 have been procured.</p> <p>The quality of MPRs submitted by CSC Siddarthanagar are very poor. DSC for P7 is far behind the schedule in all deliverables. Both CSC and DSC have not submitted other reports so far.</p>
<p>Procurement of good and work</p>	<p>Procurement of 4 packages of ICB civil work, 1 NCB package (Vehicle), 6+ packages of Shopping, and PBSEDP (except Services) were completed with an accumulated contract award Nrs 8,867,629,856.83.</p> <p>As of September 2018 cumulative project expenditure of on goods, works, vehicles, equipment and PBSEDP (except service) is Nrs. 3,348,642,734.75 or 37.76% of contract amount.</p>
<p>Performance of contractors of civil work</p>	<p>The physical progress achieved by Biratnagar, Siddarthanagar, and Nepalgunj, as of September 2018 is 28.72%, 58.0%, and 51.18% respectively, and is satisfactory.</p> <p>Since, the civil work of Nepalgunj is being carried out in the absence of CSC, the quality of work</p>

	completed so far needs to be verified.
Performance Based Socio-economic Development Program	<p>1st year PBSEDP has been implemented in P7 project municipalities. Out of total approved (Revised) ceiling grant Nrs. 31,491,200.00 Nrs. 29,445,279.00 or 93.50% has been spent.</p> <p>A total Nrs. 161,626,829.00 ceiling grant (GON and ADB Share) has been fixed for 2nd year PBSEDP.</p>

E. COMPLIANCE WITH LOAN COVENANTS:

The Borrowers Compliance with Key Loan Covenants	
Out of 48 compliances with loan covenant 2 are complied, 6 complied with, 17 being complied, 16 are being complied with, 5 are not due yet, and remaining 2 are not complied (Attachment 10). The status of compliance with key loan covenants are as following:	
Description of Covenants	Status
Maintain separate accounts and records for the Project	Achieved
Ensure adequate counterpart staff and budgetary provision to cover counterpart fund	Sufficient counterpart and other fund required for the project have been allocated by both GON and municipalities for FY 2018/19.
Within 2 months after the Effective Date, DUDBC shall create a project website to disclose key project-related information,	Project website created and onboard (ON TEST).
MOUD and the Municipalities shall ensure that the anticorruption provisions acceptable to ADB are included in all bidding documents and contracts, including provisions specifying the right of ADB to audit and examine the records and accounts of the executing and implementing agencies and all contractors, suppliers, consultants, and other service providers as they relate to the Project	Anticorruption provisions and right of ADB to audit etc are included in all bidding documents and contracts.
Within 12 months from the Effective Date, the PCO shall prepare a grievance redress mechanism, acceptable to ADB, and establish a special committee to receive and resolve complaints/grievances or act upon reports from stakeholders on misuse of funds and other irregularities, including grievances due to safeguards issues	Formed Special Committee on Grievance Redress Mechanism for RUDP at PCO
The Borrower shall ensure, or cause each of the Municipalities to ensure, that citizen charter shall be displayed at the Municipality's office and public audit shall be organized through regular and effective meetings at the community level	Budget provisioned to carry out technical audit for next FY (075/76).

F. MAJOR PROJECT ISSUE AND PROBLEM:

Summary of major problems	The agreed institutional arrangement has been changed due to the constitutional provision resulting in delay and confusion on fund flow and reporting requirements which differs from RUDP agreement.
Summary of major issues	Delayed hiring of CSCs and cancellation of IDC recruitment process (required to support PIUs for Birgunj and Nepalgunj, and PISUs for P7 project municipalities), have led to poor quality monitoring, technical support and delayed project deliveries (Attachment 11)
Recommendations	<p>PSC/MOUD/DUDBC/PCO/ADB should coordinate with line ministries and council of ministry, decide necessary action and update PAM immediately in the spirit of GoN and ADB agreement.</p> <p>PIU Birgunj and Nepalgunj, RPIU, PCO, DUDBC and ADB should realize the urgency of CSCs and IDC recruitment and expedite the process without delaying. An interim arrangement should be provisioned for transitional period.</p>

Attachments

Attachment 1: Status of Time Bound Agreed Action Plan as of September, 2018
Table 1: Action Plan – General and Non-Province 7

Actions	Responsibility	Due date	Status: indicate 'achieved' or 'delayed'. If delayed, indicate the reason and new target date.
1. DSC-P7 Inception Report to be shared with ADB and P7 municipalities	R-PIU/PCO	5 Feb 2018	Achieved
2. Grievance Redress Committees established in all 8 municipalities	PCO/RPIU	5 Feb 2018	Achieved
3. Facilitate opening of PISU project accounts	RPIU/PISU/ Municipality	7 Feb 2018	Achieved
4. Conduct next Project Steering Committee meeting	PCO/MOUD	15 Feb 2018	Achieved
5. Confirmation of Aide Memoire	MOF, MOUD	20 Feb 2018	Achieved
6. Translate PAM and safeguards documents into Nepali	PCO	20 Feb 2018	Achieved
7. Evaluation of Y1 target achievements – PBSEDP (P7)	PMC	28 Feb 2018	Achieved
8. Prepare contract documents for GIS consultant (firm) for Biratnagar	PMC	28 Feb 2018	Achieved
9. Submit SWM due diligence report and communications plan	PCO/Biratnagar PIU	28 Feb 2018	Achieved
10. Prepare city-specific project brochures with FAQ (Nepali language)	PCO/PIUs	1 March 2018	Achieved - Biratnagar and RUDP. Others - new target: December last 2018
11. Municipality Project Coordination Committees established in P7 municipalities	PCO/PIU/PISU	7 March 2018	Achieved
12. Project orientations/launch organized in P7 municipalities	PCO	7 March 2018	Achieved
13. Submit Y1 PBSEDP proposal to ADB	PCO	15 March 2018	Achieved
14. Project orientations/launch organized in Biratnagar, Birgunj, Nepalgunj, Siddharthnagar	PCO	30 March 2018	Achieved
15. Submit Q1 2018 QPR	PCO	15 April 2018	Achieved
16. Mobilization of TRTA consultant	ADB	1 July 2018	Separate entity is responsible

Table 2: Action Plan – Bheemdatt

Actions	Responsible	Due date	Status
1. Organize monthly progress review meeting at Municipality and share minutes of meeting with PCO	R-PIU/PISU	Immediately/ Every month	11th meeting held and to be continued
2. Facilitate establishment of PISU, including staffing	PCO/RPIU and Municipality	31 Jan 2018	Achieved
3. Inform Bheemdatt on allocation for the incremental expenditure and performance based fund and finalize plan for next FY	PCO/RPIU	31 Jan 2018	Achieved
4. Establish Grievance Redress Mechanism and conduct orientation of Municipality and PISU staff	PISU/Municipality/ DSC	31 Jan 2018	Achieved

Actions	Responsible	Due date	Status
5. Start registering all grievances, including verbal	PISU/DSC	31 Jan 2018	Achieved
6. Hold meeting with GIS Section of DUDBC, share GIS urban base map, and seek feedback	PCO, RPIU, DSC, PISU	9 Feb 2018	Achieved
7. Undertake pre-feasibility of land pooling schemes, including consultation minutes and share with RPIU	DSC, PISU and Municipality	12 Feb 2018	Delayed, Site yet to finalize, New target: December end 2018
8. Review and provide comments on pre-feasibility of land pooling	PMC/PCO	26 Feb 2018	Delayed, 15 January 2019
9. Prepare Vision – Bheemdatt Municipality	Municipality	28 Feb 2018	Consultant's Report delayed, New target: 15 December 2018
10. Invite DSC to provide inputs into the preparation of the Vision to ensure alignment with urban development plans	Municipality	28 Feb 2018	Delayed, new target: December end 2018
11. Conduct project orientation/launch for key implementation stakeholders, including ward chairs (topics include Social and Environment safeguard compliance requirements, GESI Action Plan implementation and procurement guidelines)	PCO, PMC, R-PIU	28 Feb 2018	Achieved
12. Conduct stakeholder analysis and prepare consultation and communication plan for solid waste subproject	DSC/PISU	28 Feb 2018	Delayed, Site yet to finalize: New target: October end 2018
13. Share the final pre-feasibility report on land pooling with Bheemdatt Municipality	RPIU and DSC	7 Mar 2018	Delayed, Site not finalized: November end 2018

Table 3: Action Plan – Dhangadhi

Actions	Responsibility	Due date	Status: indicate 'achieved' or 'delayed'. If delayed, indicate the reason and new target date.
1. Conduct project orientation/launch for key implementation stakeholders, including ward chairs (topics include Social and Environment safeguard compliance requirements, GESI Action Plan implementation and procurement guidelines)	PCO, PMC, RPIU, DSC	7 March	Achieved
2. Organize monthly review meeting	Municipality	23 Feb 2018	10th monthly meeting held, To be continued
3. Establish GRM and orient members and PISU staff	RPIU and DSC	31 Jan 2018	Achieved
4. Update Combined IPP/resettlement plan of	DSC	20 Feb 2018	Delayed, needs to update, New target: 15

Actions	Responsibility	Due date	Status: indicate 'achieved' or 'delayed'. If delayed, indicate the reason and new target date.
SWM			Nov 2018
5. Conduct stakeholder analysis and prepare communication and consultation plan for SWM	DSC	20 Feb 2018	Delayed, field data collected, New target: 15 December 2018
6. Meeting with GIS Section of DUDBC, share GIS urban base map, and seek feedback	PCO/RPIU/DUDBC	28 Feb 2018	Achieved

Table 4: Action Plan – Shuklaphanta

Action Plan	Responsible	Due date	Status: indicate 'achieved' or 'delayed'. If delayed, indicate the reason and new target date.
1. Conduct project orientation/launch for key implementation stakeholders, including ward chairs (topics include Social and Environment safeguard compliance requirements, GESI Action Plan implementation and procurement guidelines)	PCO, PMC, R-PIU	7 March 2018	Achieved
2. Conduct stakeholder analysis and prepare consultation and communication plan for solid waste subproject	DSC/PISU	28 Feb 2018	Delayed, field data collected, New Target: 15 December 2018
3. Establish GRM and orient members and PISU staff	PISU/Municipality DSC	31 Jan 2018	Achieved
4. Start registering all grievances, including verbal	PISU/DSC	31 Jan 2018	Achieved
5. Technical Meeting with GIS Section of DUDBC, share GIS urban base map, and seek feedback	PCO, RPIU, DSC PISU	9 Feb 2018	Achieved
6. Organize monthly progress review meeting at Municipality and share minutes of meeting with PCO	R-PIU/PISU	Immediately/ Every month	9 th meeting held and to be continued
7. Share with RPIU the pre-feasibility of land pooling schemes, including consultation minutes with Municipality	DSC, PISU and Municipality	12 Feb 2018	Delayed, New target: 15 December 2018
8. Review and provide comments on pre-feasibility of land pooling	PMC/PCO/RPIU	26 Feb 2018	Delayed, Report not submitted, New target: December end 2018
9. Share the final pre-feasibility report of LP with Shuklaphanta Municipality	RPIU and DSC	7 Mar 2018	Delayed, New target: December end 2018

Table 5: Action Plan – Godawari

Action Plan	Responsible	Due date	Status: indicate 'achieved' or 'delayed'. If delayed, indicate the reason and new target date.
1. Conduct project orientation/launch for key implementation stakeholders, including ward chairs (topics include Social and Environment safeguard compliance requirements, GESI Action Plan implementation and procurement guidelines)	PCO, PMC, R-PIU	7 March 2018	Achieved
2. Conduct stakeholder analysis and prepare consultation and communication plan for solid waste subproject	DSC/PISU	28 Feb 2018	Delayed, field data collected, New target: December end 2018
3. Establish GRM and orient members and PISU staff	PISU/Municipality DSC	31 Jan 2018	Achieved
4. Start registering all grievances, including verbal	PISU/DSC	31 Jan 2018	Achieved
5. Technical Meeting with GIS Section of DUDBC, share GIS urban base map, and seek feedback	PCO, RPIU, DSC PISU	15 Feb 2018	Achieved
6. Organize monthly progress review meeting at Municipality and share minutes of meeting with PCO	R-PIU/PISU	Immediately/ Every month	7th meeting held and to be continued
7. Share with RPIU the pre-feasibility of land pooling schemes, including consultation minutes with Municipality	DSC, PISU and Municipality	28 Feb 2018	Delayed, New target: 30 December 2018
8. Submit DDR/RP for office building site to PCO	PCO/DSC	1 March 2018	Achieved
9. Review and provide comments on pre-feasibility of land pooling	PMC/PCO/RPIU	10 March 2018	Delayed, New target: December end 2018
10. Share the final pre-feasibility report of LP with Godawari Municipality	RPIU and DSC	20 Mar 2018	Delayed, New target: December end 2018

Attachment 2: Status of Loan Utilization

As of September 2018 (US\$ million)

<i>Catagogy</i>	<i>Description</i>	<i>Budget Allocation</i>	<i>Contracts Awarded</i>	<i>Uncontracted Loan Balance</i>	<i>Total Disbursement</i>	<i>Undisbursement Amount</i>	<i>Undisbursed Contract Amount</i>
<i>(a)</i>	<i>(b)</i>	<i>(c)</i>	<i>(d)</i>	<i>(e) = (c-d)</i>	<i>(f)</i>	<i>(g) = (c-f)</i>	<i>(h) = (d-f)</i>
Work and Equipments	ICB Packages	105.00	60.31	44.7	22.3012	82.70	38.00
	NCB Package	1.63	0.517	1.11	0.514	1.12	0.00
	Shopping	0.28	0.1467	0.1	0.157	0.12	-0.01
	PBSEDP	3.30	0.229	3.1	0.229		0.00
	Community Procurement (works)	1.50		1.5		1.50	0.00
Consulting Services	QCBS Packages	6.95	6.02	0.9	1.5822	5.37	4.44
	ICS Packages	0.22		0.2		0.22	0.00
	Preparation of DPR	5.32		5.3		5.32	0.00
Recurent Cost	Incremental recurrent costs	2.80		2.8	0.01413	2.79	
Contingency	Physical contingencies	8.80		8.8		8.80	
	Price Contingencies	8.00		8.0		8.00	
Total		143.80	67.218	76.6	24.798	115.93	42.43

Note: exchange rate: 1 USD\$ = 102.858 Nrs.

Attachment 3: Status of Ongoing Contract Packages
As of **September 2018**

S.N.	Work Description	Name of Contractor	Contract Amount (With VAT), NRs	Agreement Date	Closing Date	Physical Progress (%)			Financial progress		Problems Affecting Work Progress	Actions Proposed to Address Problems
						Cum. Progress as of September 2018	Progress (July-September 2018)	Deviation against Work Plan	as of September 2018, NRs.	Progress (July-September 2018)		
A Civil Works												
1	Construction & Completion of Sewerage, Drainage, Road, Footpath & Road Furniture (Package: RUDP/ICB/BRT/2), Biratnagar	ZHONGHENG Construction Group International Engineering Co. Ltd, Beijing, China	2,611,092,249.63	10-Oct-17	29-Oct-19	28.72%	4.26%	-2.31%	971,063,558.36	37.19%		
2	Construction of Road Drainage Footpath and Road Furniture (Package: RUDP/ICB/NEG/3), Nepalgunj	Kalika- ZTST JV Kathmandu	1,635,298,106.35	28-Feb-18	16-Aug-20	51.18%	17.75%	12.12%	921,863,451.37	56.37%	Absence of CSC	Hire CSC ASAP
3	Construction of Road, Drainage, Footpath and Road Furniture (Package: RUDP/ICB/SN /4, Siddharthanagar	China Guangzhou and Sharma Co. (P) Ltd JV Sitapaila Kathmandu	2,165,399,624.62	5-Jul-17	12-Jan-00	58.00%	12.00%	-2.0%	1,156,089,878.18	53.39%		
4	Construction of Road, drainage, footpath, road furniture, Bypass road and Bus Bays (Package RUDP/ICB/BRJ-01), Birgunj	ZTSJ-Kalika JV Kathmandu	2,349,266,305.13	13-Jun-18	12-Jun-20	0.00%			192,200,868.00	8.18%	Absence of CSC	Hire CSC ASAP
Total			8,761,056,285.73	85,167,946.16					3,241,217,755.91			
B Shopping												
1	Office Furniture-PCO:RUDP/PCO/G3	New Passa Furniture, Kathmandu	1,915,300.00	21-Jun-17	4-Jul-17	100.00%			4,089,398.00	100.00%	1,604,695.95	1.1%
		Tridevi Furnitures, Kathmandu	1,936,105.26	30-Aug-17	18-Sep-17	100.00%				100.00%		
2	Office Equipment- PCO:RUDP/PCO/G4	Rubi Trade Concern, Kathmandu	3,479,948.00	25-Jun-17	4-Jul-17	100.00%			6,751,890.00	100.00%		
		South Pole Business (P) Ltd, Kathmandu	2,271,526.00	9-Jul-17	31-Jul-17	100.00%				100.00%		
3	Office Equipment (RPIU, PISUs):RUDP/RPIU/G1	Mahalaxmi steel furniture, Dhangadi	385,637.60	9-Feb-18	4-Feb-18	100.00%			385,637.60	100.00%		
		City Top Mobile and Computer, Dhangadi	834,871.00	9-Feb-18	4-Feb-18	100.00%				100.00%		
4	Scoters- RUDP/PCO/G2	Jagdamba Motors (P) Ltd	631,600.00	29-Jun-18	5-Jul-18	100.00%			59,695,599.00	100.00%		
5	Motorcycles-RUDP/PCO/G2	Hero Motors (P) Ltd	3,096,000.00	29-Jun-18	5-Jul-18	100.00%				100.00%		
6	Vehicles-RUDP/PCO/1-1	United Traders Syndicate (P) Ltd	26,360,000.00	8-Jul-18	13-Jul-18	100.00%				100.00%		
7	Vehicles-RUDP/PCO/1-2	Sipradi Trading (P) nLtd	29,995,000.00	8-Jul-18	13-Jul-18	100.00%				100.00%		
8	Siddharthanagar Equipment		142,655.24			100.00%			142,655.24	100.00%		
9	P7 municipalities: Equipment and Furniture		6,079,649.00	18-Jun-18		100.00%			6,079,649.00	100.00%		
Total			77,128,292.10						77,979,699.84	100.00%		
C Services												
1	Project Management Consultant	ERMC (P) Ltd	175,606,279.31	27-Dec-17	21-Dec-22				53,118,843.92	30.25%		
2	Design Supervisin Consultant, Biratnagar	Building Design Authority (P) Ltd JV with BN Consultancy (P) Ltd and Plus Engineers & Associates (P) Ltd	111,494,275.00	28-Aug-17	27-Aug-20				36,155,137.42	32.43%		
3	Design Supervisin Consultant, RPIU Dhangadi	Building Design Authority (P) Ltd JV with ITECO(P)Ltd and Udaya Consultancy (P) Ltd	283,736,785.00	27-Aug-17	9-Aug-22				60,749,529.08	21.41%		
4	Construction Supervision Consultant, Siddharthanagar	BDA Nepal (P) Ltd in association with Garima International Design Associates Nepal (P) Ltd	48,247,497.00	29-Jan-18	30-Jun-20				12,734,905.00	26.4%		
Total			619,084,836.31						162,758,415.42	26.29%		
5	1st year PBSEDP for P7 Municipalities		29,445,279.00						29,445,279.00	100.00%	Absence of IDC	Expedite IDC hiring process
Grand Total			9,486,714,693.14						3,511,401,150.17	37.01%		

Attachment 4: Status of Counterpart Staff at Implementing Units

As of September 2018

S.No.	Key Position of Counterpart Staff	Project Coordination Office, Kathmandu		Regional Project Implementing Unit, Kailali		Project Implementation Unit, Biratnagar		Project Implementation Unit, Birgunj		Project Implementation Unit, Siddarthanagar		Project Implementation Unit, Nepalgunj		Project Implementation Support Unit, Bheemdutt		Project Implementation Support Unit, Dhangadhi		Project Implementation Support Unit, Godawari		Project Implementation Support Unit, Suklaphanta		
		Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	Agreed nos	Status	
1	Project Director/Project Manager	1	1	1	1	1	1	1	1	1	1	1	1	1	-	-	-	-	-	-	-	-
2	Deputy Project Director/Deputy Project Manager	2	2	-	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
3	Engineer	6	6	8	4	3	3	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
4	Social Safeguard Officer	-	-	1	1	1	1	1	1	1	1	1	1	-	-	-	-	-	-	-	-	-
5	Environment Engineer	-	-	1	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Finance Officer	1	1	1	1	1	1	1	1	1	1	-	-	-	-	-	-	-	-	-	-	-
7	GESI Focal Person	-	-	-	-	-	-	1	1	1	1	1	1	-	-	-	-	-	-	-	-	-
Total		10	10	12	8	7	7	6	6	6	6	5	5	2	2	2	2	2	2	2	2	2

Note: Division Chief DUDBC, Morong is assigned as a DPM/Project Advisor for PIU Biratnagar

Attachment 5b: Status of Environmental Safeguards During Implementation Stage
As of September 2018

S. No.	Name of Subproject	Compliance to Environmental Management Plan*													Grievances			Corrective Action Plans are prepared and implemented (Y/N)	ENV compliance reporting is maintained (Y/N)	Remarks	
		Physical				Biological				Socio-economic					Nos of grievances documented	No of grievances resolved	No of grievances under processing				
		Spoil Management compliance in %)**	Landslide protection with Bio-engg (compliance in %)**	Drainage Management (compliance in %)**	Others as per EMP (specify)	Compensatory Plantation		Firewood is used for cooking and heating (Y/N)	Others as per EMP (specify)	Occupational Health and Safety			Community structures are compensated / reconstructed (progress in % of total estimated work)***)								Others as per EMP (specify)
				Target (Nos.)	Replanted (Nos.)	Safety Gears are sufficient and used (Y/N)	Laborers are insured (Y/N)			Labor and work camps are healthy and have sanitary facilities (Y/N)	Community Structure 1	Community Structure 2									
1	Province 7 project Municipalities																				
2	Bheemdatta	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2	0	2	N/A	N/A	
3	Godawari	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0	0	0	N/A	N/A	
4	Dhangadhi	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0	0	0	N/A	N/A	
5	Shuklaphanta	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0	0	0	N/A	N/A	
6	Non-Province 7 Municipalities																				
7	Birgunj submetropolitan city	N/A	N/A	N/A	N/A	N/A	N/A	No	N/A	Y	Y	Y	Y	Y	Y	N/A	N/A	N/A	N/A	N/A	Contractor not mobilized yet
8	Biratnagar submetropolitan city	N/A	N/A	49.14	Partially followed	N/A	N/A	No	Partially followed	Y	Y	Y	Y	Y	Y	N/A	N/A	N/A	N/A	N/A	
9	Nepalgunj submetropolitan city	93	N/A	71.45	Partially followed	N/A	N/A	No	Partially followed	Y	Y	Y	Y	Y	Y	N/A	N/A	N/A	N/A	N/A	Work in progress
10	Siddharthanagar municipality	91.83	N/A	43.12	Partially followed	N/A	N/A	No	Partially followed	Y	Y	Y	Y	Y	Y	2	1	1	N/A	N/A	

Note: * Add field as guided by EMP in EIA/IEE/DDR; ** Write percentage of compliance in a scale of 100%; *** mention type of community structures, N/A - not available

Progress status and issues in brief: a) Non P7 Municipalities: Road/Drainage/footpath construction works are in progress in Biratnagar, Nepalgunj and Siddharthanagar. Based on the EMP environmental monitoring is being carried out in these municipalities. Contractor has not commenced construction work in Birganj SMC. b) **P7 Municipalities:** Detailed design, estimate of road/drainage and Buiding components are in progress.ToR for IEE study of ISWM site and Road/Drainage works of Suklaphanta municipality,

Attachment 5c: Status of EMP Compliance

As of September 2018

S. No.	Sub-Project Name	EMP Part of Contract Documents (Y/N)	EMP Being Implemented (Y/N)	Status of Implementation (Excellent/Satisfactory/Partially Satisfactory/Below Satisfactory)	Action Proposed and Additional Measures Required	Remarks
Non Province 7						
1	Biratnagar	Y	Y	Satisfactory	N/A	
2	Birganj	Y	N	N/A	N/A	
3	Siddharthaanagar	Y	Y	Satisfactory	N/A	
4	Nepalgunj	Y	Y	Satisfactory	N/A	
Province 7						
5	Godawari (Attariya)	Y	N/A	N/A	N/A	
6	Dhangadhi	Y	N/A	N/A	N/A	
7	Bheemdatta	Y	N/A	N/A	N/A	
8	Shuklaphanta (Jhalari-Pipaladi)	Y	N/A	N/A	Expedite the process of ToR approval	IEE ToR for ISWM site & Road/Drainage work is in MOUD for approval

Attachment 6a: Involuntary resettlement planning status

As of September 2018

S. No.	Name of subproject	Does screening carried out	subproject category	Name of IR planning document	Planning documents prepare	Does ADB approved IR planning doc.	Does required institution set up	Does sufficient budget allocated for IP/IR	Remarks
1	Solid Waste Management Improvement, Bhimdatta	Yes	C	DDR	Yet to prepare	NA	Yes	NA	
2	Solid Waste Management Improvement, Suklaphanta	Yes	C	DDR	Prepared	under review	Yes	NA	
3	Solid Waste Management Improvement, Godabari	Yes	C	DDR	Yet to prepare	NA	Yes	NA	
4	Solid Waste Management Improvement, Dhangadhi	Yes	B	RP	Prepared	under review	Yes	Yes	
5	Solid Waste Management Improvement, Biratnagar	Yes	B	RP	Yet to prepare	NA	Yes	Yes	
6	Roads and Storm Drainage Improvement, Bhimdatta	Yes	B	RP	Yet to prepare	NA	Yes	Yes	
7	Roads and Storm Drainage Improvement, Suklaphanta	Yes	B	RP	Yet to prepare	NA	Yes	Yes	
8	Roads and Storm Drainage Improvement, Godabari	Yes	B	RP	Yet to prepare	NA	Yes	Yes	
9	Roads and Storm Drainage Improvement, Dhangadhi	Yes	B	RP	Yet to prepare	NA	Yes	Yes	
10	Roads and Storm Drainage Improvement, Biratnagar	Yes	B	RP	Prepared	No	Yes	Yes	
11	Roads and Storm Drainage Improvement, Birganj	Yes	B	RP	Prepared	under review	Yes	Yes	
12	Roads and Storm Drainage Improvement, Siddharthanagar	Yes	B	RP	Prepared	under review	Yes	Yes	
13	Roads and Storm Drainage Improvement, Nepalganj	Yes	B	RP	Prepared	Yes	Yes	Yes	
14	Municipal Building, Suklaphanta	Yes	C	DDR	Prepared	under review	Yes	NA	
15	Municipal Building, Godabari	Yes	C	DDR	Prepared	under review	Yes	NA	

--

Attachment 6b: Resettlement Plan Implementation Status

As of September 2018

S. No.	Name of subproject	Compensation payment			Deed transfer			Income restoration training			Public infrastructure reinstated			Remarks
		Target	Achievement	%	Target	Achievement	%	Target	Achievement	%	Target	Achievement	%	
1	Solid Waste Management Improvement, Bhimdatta	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	Proposed land is located in community forestry
2	Solid Waste Management Improvement, Suklaphanta	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	Proposed land is located in community forestry
3	Solid Waste Management Improvement, Godabari	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	Proposed land is located in community forestry
4	Solid Waste Management Improvement, Dhangadhi	Yes			Yes			Yes			Yes			Land acquired, compensation determined.
5	Solid Waste Management Improvement, Biratnagar	Yes	Yes	100	Yes	Yes	100	NA	NA	-	NA	NA	-	Land acquired on 2012
6	Roads and Storm Drainage Improvement, Bhimdatta	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure expected
7	Roads and Storm Drainage Improvement, Suklaphanta	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure expected
8	Roads and Storm Drainage Improvement, Godabari	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure expected
9	Roads and Storm Drainage Improvement, Dhangadhi	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure expected
10	Roads and Storm Drainage Improvement, Biratnagar	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure expected
11	Roads and Storm Drainage Improvement, Birganj	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure
12	Roads and Storm Drainage Improvement, Siddharthanagar	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure
13	Roads and Storm Drainage Improvement, Nepalganj	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	voluntary donation of land and structure
14	Municipal Building, Suklaphanta	NA	NA	-	NA	NA	-	NA	NA	-	NA	NA	-	scope of DDR
15	Municipal Building, Godabari	Yes	Yes	100	Yes	Yes	100	NA	NA	-	NA	NA	-	scope of DDR, Land has been purchased
Total														

Summary of overall progress on resettlement plan implementation:

Attachment 6c, GESI – Status of GESI Action Plan (P7 and Non P7 Project Municipalities), July - September 2018

Activities, Indicators and Targets, Timeframe and Responsibility	Progress to Date	Issues & Challenges
	Cumulative Progress	
Outcome: Quality, sustainability and disaster resilience of urban services and facilities in 8 municipalities of the Terai region improved		
Activities: 1. Reduced “time poverty” of women in project areas Indicators and Targets: 1. Qualitative and quantitative analysis conducted using gender tools on reduction in ‘time poverty’ of women (reduction in drudgery due to improved infrastructure) (assessed by project baseline and end line surveys). Responsibility: PCO supported by PMC Timeframe: Project Completion	P7 and Non P7 Early to assess	
Output 1: Urban infrastructure in 8 municipalities constructed or rehabilitated with climate resilient and sustainable designs		
Activities: 1.1 Conduct detailed baseline socioeconomic survey of project sites (road improvement alignments, drainage, sewerage, landfill site) using indicators to identify poverty clusters along all subproject locations and identify poor, socially excluded, female headed households (FHHs), vulnerable group households, squatters/ slums, and affected populations due to project construction. (FWR municipalities) ¹ Indicators and Targets: 2. Baseline report includes time use assessment of women and men for accessing urban services and provides data disaggregated by sex/caste/ethnicity/ vulnerability collected on access to municipal services, sanitation status, solid waste management, and representation in TLOs/ WCFs/ CBOs and data used for targeting these groups. Responsibility: PISU/ DSC/IDC/Municipality Timeframe: Years 1	P7 Activities not initiated. IDC team yet to be mobilised. Work will be initiated with mobilization of IDC.	
Activities: 1.2 Include women, poor, socially excluded and vulnerable in orientation and consultation sessions through TLOs, WCFs ² , CSOs within project areas to build awareness on project objectives, activities, implementation mechanisms,	P7 and Non P7 Work will be initiated with mobilization of IDC/DSC/CSC.	

¹ Non-Far Western Region (FWR) municipalities include Biratnagar, Birgunj, Nepalgunj, and Siddharthanagar. FWR municipalities include Dhangadhi, Godawari (Attariya), Suklaphanta (Jhalari-Pipaladi), and Bheemdatt.

² This unit may change in the federal system.

<p>benefits, and grievance redress mechanism (GRM)</p> <p>Indicators and Targets: 3. Out of the total TLOs formed at least 30% member households participate in consultation sessions with at least 33% women and 40% from socially excluded and vulnerable households³</p> <p>Responsibility: PISU/ DSC/IDC/Municipality Timeframe: Years 1-3</p>	<p>Not, yet started.</p>	
<p>Activities: 1.3 a. Construction of infrastructure is based on the consultation and identification of priority needs of women, poor, socially excluded and vulnerable groups; core infrastructure to include footpaths, road markings, ramps, street lights, and greenery (FWR + non-FWR municipalities)</p> <p>b. For FWR municipalities, the grant program may also include small infrastructure such as market sheds, improved drinking water, lane and drainage improvement, capacity and skills development etc.</p> <p>Indicators and Targets: 4. 148,942 poor populations⁴ (50% women) of the city areas will benefit from improved urban infrastructure.</p> <p>5. Infrastructure designs incorporate women, disabled, elderly, child-friendly designs for safety and security such as appropriate lighting, ramps, railings and crossings.</p> <p>6. Social Development Section of DUDBC monitors infrastructure designs from above perspective and provides assessment report to PCO.</p> <p>7. Users committees formed for managing small infrastructure contracts (design and construction) with at least 33% women, and 40% from socially excluded and vulnerable groups. At least 50% representation of women in key decision-making positions.</p> <p>8. Criteria for grant support includes preference for poor and excluded groups and women's participation.</p> <p>Responsibility: PISU/Municipality/DSC/CSC/IDC Timeframe: Years 1-4</p>	<p>P7 and Non P7 Consultation with women, poor, socially excluded and vulnerable groups</p> <p>Not, yet started.</p> <p>Will be determine after programme implemented</p> <p>Being incorporated by DSC/CSC.</p> <p>DUDBC will be consulted</p> <p>UC will be formed and representation of WPE ensured</p> <p>Criteria will be reviewed</p>	
<p>Activities:</p>	<p>P7</p>	

³Vulnerable groups include female headed households, households with physically disabled family member, households having senior citizen without an able bodied family member, households severely affected by disaster.

⁴The poor population data estimated from the PPTA report using the Small Area Estimation Survey of CBS, 2013 and disadvantaged groups mapping carried out by MOFALD, 2015.

<p>1.4 Training for users committees on small infrastructure identification, design, contract management, operations and maintenance, including for women, poor, socially excluded and vulnerable groups (FWR municipalities).</p> <p>Indicators and Targets: 9. All user committee members with at least 33% women including from FHHs receive the operations and maintenance training for small civil works.</p> <p>Responsibility: PMC/ PISU/Municipality/IDC Timeframe: Years 2</p>	<p>Work will be initiated with mobilization of IDC.</p> <p>Will be verified by looking into training participant list</p>	
<p>Activities: 1.5 Integration of solid waste management into municipal services, including in poor settlements with affordable tariff structure and appropriate and convenient collection system (FWR municipalities).</p> <p>Indicators and Targets: 10. 44,229 poor and disadvantaged group households benefit from integrated SWM, including approximately 3,000 FHHs through awareness and capacity development on 3Rs, zero waste, and segregation at source with intensive focus on poor settlements and vulnerable households (FWR municipalities).</p> <p>11. GESI responsive communications materials developed on 3Rs, zero waste, segregation at source, and disseminated using innovative measures through audio, video, print media and live demonstrations via TLO/ WCF. Information materials to be in simple and local language (FWR + non-FWR municipalities).</p> <p>12. Targeted training provided to 200 women on 3Rs, waste segregation and compost making in each municipality and 80% of trained women apply the acquired skill in managing solid waste in households. Post training survey of women trainees will be carried out (FWR municipalities).</p> <p>Responsibility: PISU/Municipality/IDC Timeframe: Years 1-4</p>	<p>P7 Work will be initiated with mobilization of IDC.</p> <p>Not, yet started.</p> <p>To be initiated with mobilization of IDC/DSC/CSC. (Including Non P7)</p> <p>To be initiated with mobilization of IDC.</p>	<p>Target needs to be revised, target is highly inflated. i.e.; P7:- Total HHs-63484 25% (CBS 2011) of total Population will come to around 15871</p>
<p>Activities: 1.6 The bidding documents of contractors follow GESI specific core labor standards that ensure safe work environment, equal wages, safety and security (FWR + non-FWR municipalities).</p> <p>Indicators and Targets: 13. Orientation conducted for all contractors, including laborers on labor standards, equal pay for equal work, occupational health and safety, provision of potable water supply, and adequate toilet facilities for all workers.</p> <p>14. Sex disaggregated data maintained of labor and wages received, maintained by contractors, and verified by DSC/ IDCs.</p> <p>Responsibility: PMC (supported by consultants)/ PIU/contractors Timeframe: Years 1-4</p>	<p>P7 and Non P7 GESI specific core labour standards clauses are incorporated in the bidding document of P7 municipalities.</p> <p>Will be done after selection of contractor, based on guideline prepared by respective PMC Expert Information dissemination during the training and Sex disaggregated data insured</p>	
<p>Activities:</p>	<p>P7</p>	

<p>1.7 Performance-based grant for socioeconomic development (PBGSED) implemented with a focus on initiatives to benefit women, poor, socially excluded and Vulnerable groups (FWR municipality).</p> <p>15. The identification of small infrastructure to be funded under the PBGSED grant based on extensive consultation and identification of prioritized need of women, poor, FHHs, socially excluded and vulnerable groups as per the provision outlined in the guideline developed.</p> <p>16. At least 30% of the PBSEDP spent on socioeconomic infrastructure and GESI-related activities</p> <p>Responsibility: PISU/Municipality/IDC Timeframe: Years 2-4</p>	<p>Being incorporated.</p> <p>Small infrastructure activities identified in consultation with Stakeholders implementation monitored</p> <p>Overall 15.61% was spent in socio-economic infrastructure & GESI related activities. However, Godawari and Sukaphanta spent more than 30% of total 1st year PBSEDP expenditure.</p>	
<p>Output 2: Municipal capacity strengthened</p>		
<p>Activities: 2.1 Institutional strengthening of municipalities on GESI</p> <p>Indicators and Targets: 17. Community development and/or social Development unit established in two (Attariya and Jhalari-Pipaladi) FWR municipalities led by social development expert with adequate human resources and technical capacity, TOR and budget provision. GESI joint steering committee at central level chaired by Joint-Secretary, Planning section, MOUD⁵ and represented by MOUD, DUDBC and MOFALD formed and made functional for GESI institutionalization in municipalities.</p> <p>Responsibility: PMC/ PISU/DSC/ CSC/IDC/Municipality/GESI steering committee Timeframe: Years 1</p>	<p>P7</p> <p>Community Development and/or Social Development Unit established</p>	
<p>Activities: 2.2 Capacity development of municipalities on GESI (FWR + Non-FWR municipalities)</p> <p>Indicators and Targets: 18. Knowledge and skills of 40 municipality staff (33% women) enhanced with 10 staff (3 women) of each municipality (social development section staff and other related technical staff) receiving training of trainers (TOT) in GESI approaches for urban infrastructure planning, budgeting, local governance, and GESI mainstreaming in municipal</p>	<p>P7 and Non P7 Not, yet started.</p> <p>Not, yet started.</p>	

⁵Members of the committee to include are undersecretary, SCS, MOUD; member secretary representative from SDS, DUDBC; undersecretary, GESI Unit, MOFALD; planning section head, MOFALD; and section head, gender responsive budgeting committee, MOF.

<p>operations (FWR municipality).⁶</p> <p>19. Project staff, government officials, municipality staff, consultants, TLO/ WCF representatives, grievance redress committees, and contractors orientated on GESI concept and GESI action plan implementation (in all municipalities with at least 3 events in each).</p> <p>20. Exposure visits organized for municipality SDS staff (33% women) to other well-performing municipalities to learn best practices (FWR municipalities).</p> <p>21. TOT provided to social mobilizers (80% women) on GESI action plan, urban programming and local governance (FWR municipalities).</p> <p>22. Participatory annual GESI audit⁷ conducted in project towns (with at least 40% women and at least 60% from poor, socially excluded, and vulnerable households)</p> <p>Responsibility: PMC/ PISU/DSC/ CSC/IDC/Municipality/GESI steering committee</p>	<p>One-day project familiarization programme was organized Total Participants = 307; 100% Total Male Participants= 225; 73% Total Female Participants= 82; 27% <u>Bhimdutta</u> Total Participants = 125; 100% Total Male Participants= 86; 69% Total Female Participants= 39; 31% <u>Dhangadhi</u> Total Participants = 39; 100% Total Male Participants= 28; 72% Total Female Participants= 11; 28% <u>Godawari</u> Total Participants = 78; 100% Total Male Participants= 61; 78% Total Female Participants= 17; 22% <u>Suklaphanta</u> Total Participants = 65; 100% Total Male Participants= 50; 77% Total Female Participants= 15; 23%</p> <p>Exposure visit on ISWM for project affected communities (ward no. 8&9) and municipality staff was organised by Bheemdutta Municipality. Total participants: - 69; Female participants: - 37(53.6%)</p> <p>Not, yet started.</p> <p>Annual audit will find out whether provisions are fulfilled</p>	
---	---	--

⁶ DSC-FWR will design and administer a structured questionnaire at least one year after the training to assess the knowledge gained through project activities on GESI mainstreaming. The survey shall assess whether (i) GESI targeted funds are allocated in the annual plan and budget of the respective municipality as per MOFALD's GESI policy, (ii) annual GESI budget audit is conducted as per MOFALD's guidelines, and (iii) GESI disaggregated M&E data system has been developed and is being used in planning and operations at the municipality level.

⁷It is a tool for identifying how issues of GESI are addressed in an internal organization system as well as in program and budget. The municipalities should apply the MOFALD GESI audit guideline to achieve this indicator.

<p>Timeframe: Years 1-3</p> <p>Activities: a. Municipality office buildings designed (FWR municipalities)</p> <p>Indicators and Targets: 23. The new office buildings to be made GESI responsive such as disabled-friendly designs, and sex segregated toilets.</p> <p>Responsibility: Municipalities/ RPIU/ PISU/IDC Timeframe: Years 1</p>	<p>P7 Being designed by DSC.</p> <p>Detailed Design submitted has been found responsive</p>	
<p>Activities: b. Ensure GESI-sensitive project management (FWR + Non-FWR municipalities)</p> <p>Indicators and Targets: 24. GESI Action Plan adopted and annual action plan for GESI prepared and implemented</p> <p>25. Implementation of GESI Action Plan monitored and reported in quarterly progress reports, in QPRM as per ADB's GESI Action Plan progress report template</p> <p>26. PPMS developed with GESI Action Plan indicators and regularly updated with sex, caste, ethnic, caste, and poverty level-disaggregated data.</p> <p>27. DSC/ IDC Gender Consultants hires include at least 50% women with gender related professional expertise, and social mobilizers hired include 80% women.</p> <p>28. Social development unit engaged in GESI Action Plan monitoring for all review missions</p> <p>Responsibility: PCO/ PISU/ Municipality/DSC/ CSC/ IDC Timeframe: Years 1-4</p>	<p>P7 and Non P7 Will be ensured</p> <p>To find out GESI-AP prepared and adopted</p> <p>Will be taken care of</p> <p>Disaggregated data of P7project municipalities collected</p> <p>DSC/IDC will be informed about the provision and check the employment records Upcoming mission should monitor</p>	

Key: CSC= construction supervision consultant, CBOs = community-based organizations, CSOs = civil society organizations, DSC = design and supervision consultant, DUDBC=Department of Urban Development and Building Construction, FHHs = female headed households, GESI AP = gender equality and social inclusion action plan, IDC = institutional development consultant, ISWM= integrated solid waste management, MOFALD= Ministry of Federal Affairs and Local Development, MOUD=Ministry of Urban Development, PCO = project coordination office, PIU = project implementation unit, PMC = project management consultant, PPMS = project progress management system, QPRM = quarterly progress review meeting, TLO= Tole Lane Organization, WCF = Ward Citizen Forum

Attachment 8: Physical Progress Monitoring and Reporting Matrix as of September 2018

No.	Activities/items	Total Weightage	Physical Progress		Progress Status
			%	Weightage	
	Overall RUDP	100.00	18.35	18.35	
A.	Organization, Support, Documents, Orientation, Training, Monitoring etc	9.00	28.67	2.58	
1	Organization and support, and monitoring	1.60	56.88	0.91	
1.1	Establish PCO, appoint Project Director, Deputy Project Director, other staff. Procure vehicles, office furniture, equipment etc.	0.20	100.0	0.20	PCO Established, PD, DPDs and other staff appointed. Vehicles, office furniture, equipments etc procured.
1.2	Develop, launch (1) Project Website, and (2) Project Management Information System, (3) monitor and update	0.20	60.0	0.12	Website launched (LIVE)
1.3	Establish RPIU, appoint Project Manager, Deputy Project Manager, other staff, procure office logistics, equipments etc	0.20	100.0	0.20	RPIU established, PM, DPM and few key staff appointed. Office space and logistics available
1.4	Conduct (1) Project Launching and Orientation program, (2) capacity building training, (3) training on FIDIC procedure (DSC/CSC, RPIU, PIU/PISUs), (4) Environment and Safeguards Measures (DSC/CSC, RPIU, PIU/PISUs), (5) awareness among stakeholders, and (6) orientation (PBSEDP for P7municipalities).	0.90	40.0	0.36	Project launching and orientation conducted, capacity building & PBSEDP orientation going on
1.6	Prepare monitoring format, conduct impact evaluation and assessment by developing Indicators.	0.10	30.0	0.03	Draft Monitoring format & indicators developed
2	Documents and Program preparation	4.00	11.81	0.47	
2.1	Prepare/review (1) Guidelines for contract administration, (2) Design Cirterias, (3) Specifications for civil, mechanical and electrical work, (4) QA & QC plan, (5) Safety Manual, and (6) Guidelines for site supervision	0.60	20.0	0.12	Draft prepared
2.2	Prepare Guidelines for Complaint Handling and Conflict Resolution	0.10	0.0	0.00	Under progress
2.3	Establish System in (1) Proof Check and (2) Check Structural Design	0.25	5.0	0.01	Concept paper prepared
2.4	Setting Out Procedure for Third Party Monitoring	0.20	0.0	0.00	Under discussion
2.5	Planning, Budgeting and Preparation of (1) Annual Plan, (2) Annual work Plan, (3) Review of Procurement Procedure, and (4) Update Procurement Plan	0.20	25.0	0.05	reported done some of them
2.6	Determine and review Performance -based-Socio-economic Development Grants ceiling and program	0.25	50.0	0.13	1st & 2nd yrs completed
2.7	Prepare Guidelines for (1) Bidding, Procurement and Procurement Process, and (2) standard construction management system	0.30	0.0	0.00	under progress

2.8	Review of Technical documents (DPR/DER, Engineering Drawings, Financial and Economic analysis ect)	1.00	0.0	0.00	
2.9	Develop (1) Procedure, and (2) Prepare Guidelines for Public Private Partnership- PPP	0.20	20.0	0.04	PPP expert mobilized
2.10	Review other documents (1) urban development/infrastructure plan, (2) land pooling, (3) DDR/ RP, (4) IEE/EIA	0.25	20.0	0.05	reviewed TOR for IEE ISWM & road/drainage of Suklaphanta, UDP Dhangadhi/Suklaphanta
2.11	Provide technical support to project municipalities to (1) plan and implement street name, (2) house numbering, and (3) connect to internal revenue system	0.20	0.0	0.00	
2.12	Prepare (1) O/M plan of each municipalities, and assist to (2) implement	0.15	0.0	0.00	
2.13	Monitor and Implement (1) land acquisition, and (2) social safeguard screening conducted by RPIU/PIUs/PISUs	0.15	25.0	0.04	monitoring going on
2.14	Ensure environmental compliance with all GoN rules and regulations and integrate it in Bid document	0.15	25.0	0.04	Ensured in non P7 municipalities
3	Recruit PMC, and DSC and IDC for RPIU and P7 project municipalities	1.00	41.00	0.41	IDC not yet appointed
3.1	Recruit Project Management Consultant (PMC)	0.20	100.0	0.20	PMC recruited
3.2	Recruit Design Supervision consultant (DSC) for RPIU and P7 project municipalities	0.20	100.0	0.20	Accomplished
3.3	Recruit Institutional Development Consultant (IDC) for P7 project municipalities	0.20	0.0	0.00	Restarted hiring process by cancelling 1st notice
3.4	Recruit international and national consultant services (ICS)	0.20	5.0	0.01	ToR prepared
3.5	Support IDC and municipality to reproduce (1) Building Bylaws, and (2) monitoring process	0.05	0.0	0.00	
3.6	Support IDC to implement PBSEDP	0.05	0.0	0.00	
3.7	Support on Bid document preparations, Bid process, and special contractual arrangement	0.10	0.0	0.00	
4	Project management and operation	1.00	25.00	0.25	
4.1	Undertake (1) project management and operation, monitor (2) annual contract awards, and (3) disbursements	0.50	25.0	0.13	Management and operation ongoing
4.2	Develop (1) project performance management system (PPMS), (2) undertake Monitoring, and (3) submission of quarterly, annually and completion report.	0.50	25.0	0.13	PPMS being developed, QPR being submitted
5	Financial Administration	1.00	13.75	0.14	
5.1	Preparation of (1) Withdrawal Application, (2) Statement of Expenditure, (3) Monitor on Financial Management Action Plan, & (4) Business Plan	0.25	25.0	0.06	Project duration
5.2	Establishment, Testing and Reviewing Accounting and Fund Flow, and establish Budget Control System and Financial Monitoring	0.25	20.0	0.05	reported done some of them
5.3	(1) Ensure Annual Audit Report, and (2) Update Procurement Plan	0.50	5.0	0.03	Initiated to prepare PP for next FY
6	GESI	0.40	27.50	0.11	
6.1	Establish mechanism to implement activities on GESI mainstreaming, and establish GRM	0.10	100.0	0.10	GESI unit established, GRM formed & activated
6.2	Prepare and integrate GESI indicators in the PPMS and prepare database	0.10	0.0	0.00	
6.3	Guide PISU to prepare municipal special GESI Action plan	0.05	0.0	0.00	

6.4	Guide PISU to integrate GESI & poverty indicators and variables	0.05	0.0	0.00	
6.5	Planning, Budgeting and Preparation of (1) Annual Plan on GESI, & (2) capacity building of GESI units	0.10	10.0	0.01	prepared 1st year annual plan
B.	Non P7 municipalities	40.00	33.29	13.32	
B1	Biratnagar Metropolitan City	11.00	26.71	2.94	
1	Organization, Preparation and monitoring	1.00	50.00	0.50	
1.1	Establish PIU, appoint Project Manager, Deputy Project Manager, other staff and availability of office logistics etc	0.25	100.0	0.25	Project Manager and all other project staff appointed, office space and office logistics available.
1.2	Recruit design and supervision consultant	0.25	100.0	0.25	Accomplished
1.3	Implement GIS based house numbering/street name and municipal revenue	0.50	0.0	0.00	
2	Implementation of Sub project (Physical Completion)	8.00	28.72	2.30	
2.1	Construction and rehabilitation of Sewerage, Road, footpath, Storm Water Drainage and road Furniture	8.00	28.72	2.30	Contractor mobilized, approximately 28.72% physical progress achieved.
3	Reports and Documents	2.00	7.00	0.14	
3.1	Assessment of solid waste management practices and collection of baseline data	0.10	100.0	0.10	completed
3.2	Conduct Detail survey, Design, Cost estimate and produce bidding documents of ISWM facility for Biratnagar	0.70	0.0	0.00	Social & Environmental issues encountered
3.3	Prepare Solid Waste Management Technical Guidelines and ISWM site operation manual	1.00	0.0	0.00	Not yet initiated
3.4	Monthly, Quarterly progress report, Semi annual environmental and safeguard report, annual progress, environmental and social safeguard report	0.20	20.0	0.04	
B2	Birgunj Metropolitan City	10.00	8.50	0.85	
1	Organization, Preparation and monitoring	2.00	22.50	0.45	
1.1	Establish PIU, appoint Project Manager, Deputy Project Manager, other staff and availability of office logistics etc	0.25	100.0	0.25	Project Manager and all other project staff appointed, office space and office logistics available.
1.2	Recruit construction supervision consultant (CSC)	0.25	80.0	0.20	submitted submission-04
1.3	Implement GIS based house numbering/street name and municipal revenue	1.00	0.0	0.00	
1.4	Monthly, Quarterly progress report, Semi annual environmental and safeguard report, annual progress, environmental and social safeguard report	0.50	0.0	0.00	Report not available
2	Implementation of Sub project (Physical Completion)	8.00	5.00	0.40	
2.1	Construction and rehabilitation of Road, footpath, Storm Water Drainage, bypass road, busbays and road Furniture	8.00	5.0	0.40	Agreement done
B3	Siddharthanagar Municipality	10.00	51.90	5.19	
1	Organization, preparation, monitoring and reporting	2.00	27.50	0.55	
1.1	Establish PIU, appoint Project Manager, Deputy Project Manager, other staff and availability of office logistics etc	0.25	100.0	0.25	Project Manager and all other project staff appointed, office space and office logistics available.
1.2	Recruit construction supervision consultant (CSC)	0.25	100.0	0.25	Accomplished

1.3	Implement GIS based house numbering/street name and municipal revenue	1.00	0.0	0.00	
1.4	Monthly, Quartely progress report, Semi annual environmental and safeguard report, annual progress, environmental and social safeguard report	0.50	10.0	0.05	under process
2	Implementation of Sub project (Physical Completion)	8.00	58.00	4.64	
2.1	Construction and rehabilitation of Road, footpath, Storm Water Drairage, and road Furniture	8.00	58.00	4.64	Approximately 58.00% physical progress completed
B4	Nepalgunj Sub Metropolitan City	9.00	48.21	4.34	
1	Organization, Preparation and monitoring	1.50	33.33	0.50	
1.1	Establish PIU, appoint Project Manager, Deputy Project Manager, other staff and availability of office logistices etc	0.25	100.0	0.25	Project Manager and all other project staff appointed, office space and office logistics available.
1.2	Recruit construction supervision consultant (CSC)	0.25	80.0	0.20	submitted submission-04
1.3	Implement GIS based house numbering/street name and municipal revenue	0.50	0.0	0.00	
1.4	Monthly, Quartely progress report, Semi annual environmental and safeguard report, annual progress, environmental and social safeguard report	0.50	10.0	0.05	Available
2	Implementation of Sub project (Physical Completion)	7.50	51.18	3.84	
2.1	Construction and rehabilitation of Road, footpath, Storm Water Drairage, and road Furniture	7.50	51.18	3.84	Physical progress 51.18 % achieved
C	P7 Project municipalities	51.00	4.80	2.45	
C1	Dhangadhi	15.00	4.33	0.65	
1	Organization, preparation and monitoring	1.50	27.50	0.41	
1.1	Establish PISU, appoint Deputy Project Manager, other staff and availability of office logistices etc	0.15	100.0	0.15	achieved
1.2	Established GESI unit, GRM and formualte MPCC	0.10	100.0	0.10	GESI unit established as well as other activities fulfilled
1.3	Acquire land for ISWM	0.50	20.0	0.10	Compensation finalized by CDC
1.4	Prepare and update municipal asset management plan. Implement GIS house numbering/street name with municipal revenue	0.50	0.0	0.00	
1.5	Prepare plans and programs for Performance Based Socio Economic Development programs	0.25	25.0	0.06	1st yr completed
2	Implementation of Sub project (Physical Completion)	12.00	0.52	0.06	
2.1	Implementation of PBSERP	0.25	25.0	0.06	1st yr completed
2.2	Improvement of the existing on-site wastewater management, New small bore sewerage, and decentralized WWTP sites	1.00	0.0	0.00	work not yet initiated
2.3	Development of Integrated solid waste management (ISWM) system with landfill site with strategic waste prevention plus reduce, reuse and recycle.	1.50	0.0	0.00	
2.4	Implementatin of LP schemes	1.00	0.0	0.00	
2.5	Implementatin of Building bylaws	0.25	0.0	0.00	
2.6	Construction and rehabilitation of road, drainage, foothpath and road furniture	8.00	0.0	0.00	
3	Reports and Documents	1.50	11.67	0.18	some reports available

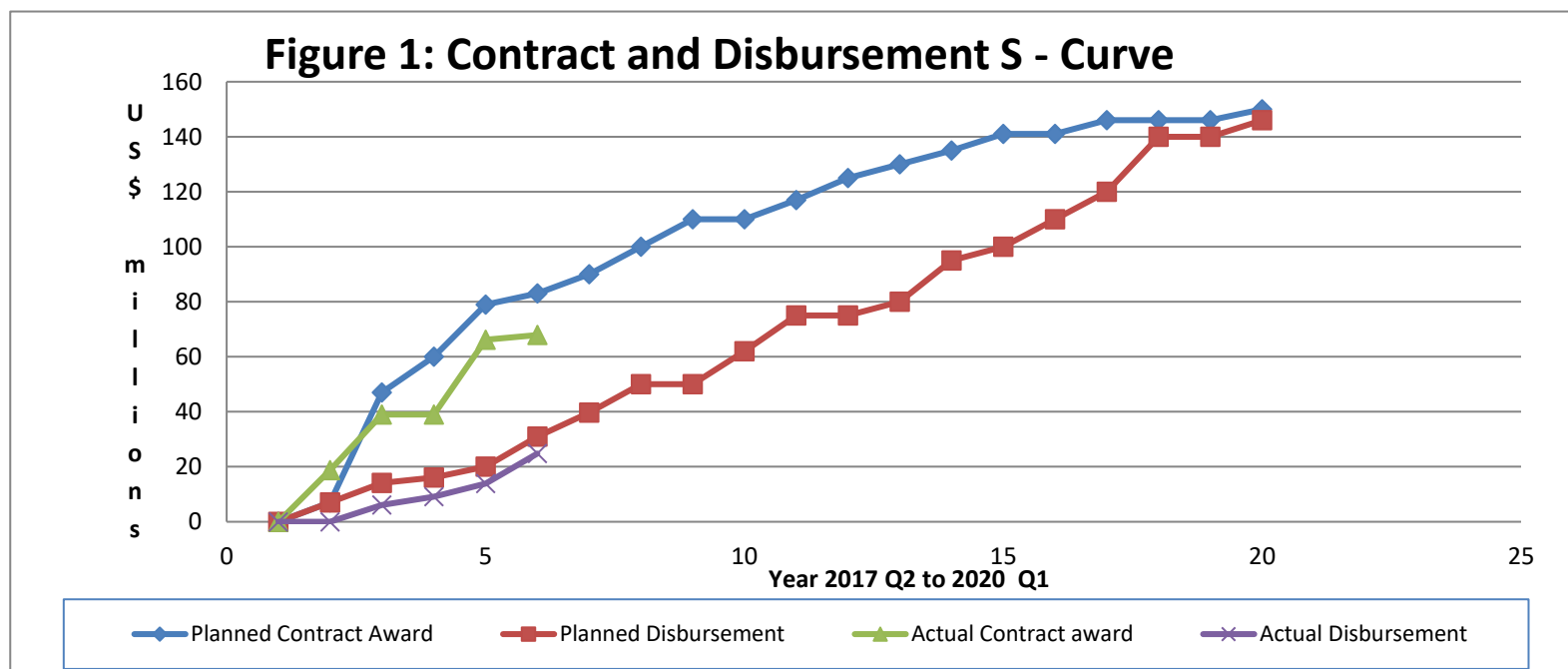
3.1	Monthly, Quartely progress report, Semi annual environmental and safeguard report, annual progress, environmental and social safeguard report	0.25	10.0	0.03	Progress reports available, but needs to be improved
3.2	Produce Urban Planning, LP, Bylaws, O&M plan, Environment, Sageguard related report & design criteria & specification of work	0.25	20.0	0.05	LP feasibility report and Urban development plan submitted
3.3	Detail Engineerign Survey, Design, cost estimate and prepare bid documents for sub projects and invitation of notice	1.00	10.0	0.10	Survey completed
C2	Bheemdutt	14.00	3.93	0.55	
1	Organization, preparation and monitoring	1.25	25.00	0.31	
1.1	Establish PISU, appoint Deputy Project Manager, other staff and availability of office logistices etc	0.15	100.0	0.15	achieved
1.2	Established GESI unit, GRM and formualte MPCC	0.10	100.0	0.10	Completed
1.3	Prepare and update municipal asset management plan. Implement GIS house numbering/street name with municipal revenue	0.75	0.0	0.00	
1.4	Prepare plans and programs for Performance Based Socio Economic Development programs	0.25	25.0	0.06	1st yr completed
2	Implementation of Sub project (Physical Completion)	11.00	0.57	0.06	
2.1	Implementation of PBSEDP	0.25	25.0	0.06	1st yr completed
2.2	Improvement of the existing on-site wastewater management, New small bore sewerage, and decentralized WWTP sites	1.00	0.0	0.00	public toilets designed
2.3	Development of Integrated solid waste management (ISWM) system with landfill site with strategic waste prevention plus reduce, reuse and recycle.	1.50	0.0	0.00	Alternate site indentified
2.4	Implementatin of LP schemes	1.00	0.0	0.00	
2.5	Implementatin of Building bylaws	0.25	0.0	0.00	
2.6	Construction and rehabilitatin of road, drainage, foothpath and road furniture	7.00	0.0	0.00	
3	Reports and Documents	1.75	10.00	0.18	few prepared
3.1	Monthly, Quartely progress report, Semi annual environmental and safeguard report, annual progress, environmental and social safeguard report	0.25	10.0	0.03	
3.2	Produce Urban Planning, LP, Bylaws, O&M plan, Environment, Sageguard related report	0.50	10.0	0.05	Urban planning report submitted
3.3	Detail Engineerign Survey, Design, cost estimate and prepare bid documents for sub projects and invitation of notice	1.00	10.0	0.10	Detailed survey completed
C3	Godawari	12.00	5.42	0.65	
1	Organization, preparation and monitoring	1.00	31.25	0.31	
1.1	Establish PISU, appoint Deputy Project Manager, other staff and availability of office logistices etc	0.15	100.0	0.15	activities completed
1.2	Established GESI unit, GRM and formualte MPCC	0.10	100.0	0.10	Established
1.3	Prepare and update municipal asset management plan. Implement GIS house numbering/street name with municipal revenue	0.50	0.0	0.00	
1.4	Prepare plans and programs for Performance Based Socio Economic Development programs	0.25	25.0	0.06	1st yr completed
2	Implementation of Sub project (Physical Completion)	10.00	1.00	0.10	
2.1	Implementation of PBSEDP	0.25	25.0	0.00	1st yr completed
2.2	Improvement of the existing on-site wastewater management, New small bore sewerage, and decentralized WWTP sites	0.50	0.0	0.00	Not initiated

2.3	Development of Integrated solid waste management (ISWM) system with landfill site with strategic waste prevention plus reduce, reuse and recycle.	0.75	0.0	0.00	work initiated
2.4	Construction and rehabilitatin of road, drainage, footpath and road furniture	6.00	0.0	0.00	
2.5	Implementatin of LP schemes	1.00	0.0	0.00	
2.6	Implementatin of Building bylaws	0.50	0.0	0.00	
2.7	Construction of Municipal Office Building	1.00	10.0	0.10	Preliminary architectural design and Master Plan completed
3	Reports and Documents	1.00	23.75	0.24	some reports available
3.1	Monthly, Quartely progress report, Semi annual environmental and safeguard report, annual progress,DDR, environmental and social safeguard report	0.25	10.0	0.03	
3.2	Produce Urban Planning, LP, Bylaws, DDR, infrastructure master plan prepared	0.25	25.0	0.06	LP concept note, Urban Development plan, drainage and road network master plan submitted
3.3	Conduct Detail Engineerign Survey, Design, cost estimate and prepare bid documents for sub projects and invitation of notice	0.50	30.0	0.15	Detailed design of road/drainage and municipal office building completed
C4	Suklaphanta	10.00	6.00	0.60	
1	Organization, preparation and monitoring	1.00	31.25	0.31	
1.1	Establish PISU, appoint Deputy Project Manager, other staff and availability of office logistices etc	0.15	100.0	0.15	completed
1.2	Established GESI unit, GRM and formualte MPCC	0.10	100.0	0.10	completed
1.3	Prepare and update municipal asset management plan. Implement GIS house numbering/street name with municipal revenue	0.50	0.0	0.00	
1.4	Prepare plans and programs for Performance Based Socio Economic Development programs	0.25	25.0	0.06	1st yr completed
2	Implementation of Sub project (Physical Completion) and bylaws	8.00	0.47	0.04	
2.1	Implementation of PBSERP	0.15	25.0	0.04	1st yr completed
2.2	Improvement of the existing on-site wastewater management, New small bore sewerage, and decentralized WWTP sites	0.25	0.0	0.00	
2.3	Development of Integrated solid waste management (ISWM) system with landfill site with strategic waste prevention plus reduce, reuse and recycle.	0.35	0.0	0.00	
2.4	Construction and rehabilitatin of road, drainage, footpath and road furniture	6.00	0.0	0.00	
2.5	Implementation of LP Schemes	0.50	0.0	0.00	
2.6	Implementatin of Building bylaws	0.25	0.0	0.00	
2.7	Construction of Municipal Office Building	0.50	0.0	0.00	
3	Reports and Documents	1.00	25.00	0.25	
3.1	Monthly, Quartely progress report, Semi annual environmental and safeguard report, annual progress, DDR, environmental and social safeguard report	0.25	15.0	0.04	ISWM & Road/drainage ToR for IEE in MoUD

3.2	Produce Urban Planning, LP, Bylaws, infrastructure master plan prepared	0.25	25.0	0.06	LP concept note, Urban Development plan, drainage and road network master plan submitted
3.3	Conduct Detail Engineerign Survey, Design, cost estimate and prepare bid documents for sub projects and invitation of notice	0.50	30.0	0.15	Detailed design of road/drainage and municipal office building completed

Attachment 9: Contract and Disbursement S-Curve, US\$ million

Planned/ Award	Q2, 2017	Q3, 2017	Q4, 2017	Q1, 2018	Q2, 2018	Q3, 2018	Q4, 2018	Q1, 2019	Q2, 2019	Q3, 2019	Q4, 2019	Q1, 2020	Q2, 2020	Q3, 2020	Q4, 2020	Q1, 2021	Q2, 2021	Q3, 2021	Q4, 2021	Q1, 2021
Planned Contract Award	0	7	47	60	79	83	90	100	110	110	117	125	130	135	141	141	146	146	146	150
Planned Disbursement	0	7	14.1	16.1	20.1	31	39.7	50	50	62	75	75	80	95	100	110	120	140	140	146
Actual Contract award	0	18.8	38.96	38.99	66.2	67.9														
Actual Disbursement	0	0	6.05	9.16	13.86	24.80														



Attachment 10: Status of Compliance with Loan Covenants

No	Covenants	Reference in Loan Agreement	Status of Compliance
Implementation Arrangements			
1.	The Borrower shall ensure, and cause the Municipalities to ensure, that the Project is implemented in accordance with the detailed arrangements set forth in the PAM. Any subsequent change to the PAM shall become effective only after approval of such change by the Borrower and ADB. In the event of any discrepancy between the PAM and this Loan Agreement, the provisions of this Loan Agreement shall prevail.	Schedule 5, Para- 1	Complied with. <i>Remark: The Project implementation arrangement is in accordance with the detailed arrangements set forth in the PAM</i>
2.	The Borrower, including through MOUD, shall undertake best efforts to ensure that the same qualified persons will continue to be assigned for a reasonable period of time of at least 2 years to positions of executive officers of the Municipalities concerned and key positions in the PCO and PIUs including, without limitation, the Project director of the PCO and Project managers of the PIUs.	Schedule 5, Para-2	Not Complied with. <i>Remark: There is a transfer of CAOs (Biratnagar, Siddarthanagar and Dhangdi) & PM and DPM of RPIU Kailali. Other key staff of PCO, RPIU and PIUs remains same.</i>
Environment			
3.	The Borrower shall ensure that the preparation, design, construction, implementation, operation and decommissioning of the Project, each Subproject and all Project facilities comply with; (a) all applicable laws and regulations of the Borrower relating to environment, health and safety; (b) the Environmental Safeguards; (c) the EARF; (d) all measures and requirements set forth in the respective IEE, the EMP, and any corrective or preventative actions set forth in a Safeguards Monitoring Report.	Schedule 5, Para-3	Complied with. <i>Remark: These are the part of sub-project appraisal report.</i>
Resettlement and Indigenous Peoples			
4.	The Borrower shall ensure that all land and all rights-of-way required for the Project, each Subproject and all Project facilities are made available to the Works contractor in accordance with the schedule agreed under the related Works contract and all land acquisition and resettlement activities are implemented in compliance with: (a) all applicable laws and regulations of the Borrower relating to land acquisition and involuntary resettlement; (b) The Involuntary Resettlement Safeguards; (c) The CRIPPF; (d) All measures and requirements set forth in the RP/CRIPP, and any corrective or preventative actions set forth in the Safeguards Monitoring Report	Schedule 5, Para- 4	Complied.
5.	Without limiting the application of the Involuntary Resettlement Safeguards or the RPs/CRIPPs, the Borrower shall ensure that no physical or economic displacement takes place in connection with the Project until: (a) compensation and other entitlements have been provided to affected people in accordance with the RPs/CRIPPs and (b) A comprehensive income and livelihood restoration program has been established in accordance with the RPs/CRIPPs.	Schedule 5, Para-5	Complied with. <i>Remarks: compensation is provisioned for ISWM land of Dhangadhi while for road and drainage subprojects of all 8 municipalities, no compensation is planned assuming voluntary donation of land and structures falls below 10% of total asset, and owner will voluntarily donate it.</i>
6.	The Borrower shall ensure that the preparation, design, construction, implementation and operation of the Project, and all Project facilities comply with; (a) all applicable laws and regulations of the Borrower relating to indigenous peoples; (b) the Indigenous Peoples Safeguards; (c) All measures and requirements set forth in the RP/CRIPP, and any corrective or preventative actions set forth in a Safeguards Monitoring	Schedule 5, Para-6	Being Complied with. <i>Remarks: Under implementation</i>

	Report.		
Human and Financial Resources to Implement Safeguards Requirements			
7.	The Borrower shall make available necessary budgetary and human resources to fully implement the EMP, RP and CRIPP.	Schedule 5, Para-7	Being complied
Safeguards - Related Provisions in Bidding Documents and Works Contracts			
8.	The Borrower shall ensure that all bidding documents and contracts for Works contain provisions that require contractors to:	Schedule 5, Para-8	Being Complied with. <i>Remarks: Provision has been made in bidding documents for non P 7 Municipalities while P 7 municipalities are yet to be produced bidding documents.</i>
	(a) Comply with the measures relevant to the contractor set forth in the IEE, the EMP and any RP/CRIPP (to the extent they concern impacts on affected people during construction), and any corrective or preventative actions set forth in a Safeguards Monitoring Report;	Schedule 5, Para-8.a	Being complied with. <i>Remark: Provisions have been made in bidding documents and contracts for work</i>
	(b) Make available a budget for all such environmental and social measures;	Schedule 5, Para-8.b	Complied with. <i>Remark: Provisions would be made in bidding documents and contracts for work</i>
	(c) Provide the Borrower with a written notice of any unanticipated environmental, resettlement or indigenous peoples risks or impacts that arise during construction implementation or operation of the Project that were not considered in the IEE, EMP, RP or CRIPP;	Schedule 5, Para-8.c	Being complied with. Will be followed.
	(d) Adequately record the condition of roads agricultural land and other infrastructure prior to starting to transport materials and construction;	Schedule 5, Para-8.d	Being Complied
	(e) Fully reinstate pathways, other local structure, and agricultural land to at least their pre-project condition upon the completion of construction.	Schedule 5, Para-8.e	Being Complied
Safeguards Monitoring and Reporting			
9.	The Borrower shall do the following:	Schedule 5, Para-9	Being complied with. <i>Remarks: Activities not yet initiated in P 7 municipalities whereas only Biratnagar MC has submitted Semiannual report, and other reports are due. Not identified any unanticipated social risks and impacts during construction, however, some risks might be anticipated.</i>
	(a) Submit semi-annual Safeguards Monitoring Reports to ADB and disclose relevant information from such reports to affected persons promptly upon submission;	Schedule 5, Para-9.a	Complied.
	(b) If any unanticipated environmental and/or social risks and impacts arise during construction, implementation or operation of the Project that were not considered in the IEE, EMP, RP or CRIPP, promptly inform ADB of the occurrence of such risks or impacts, with detailed description of the event and proposed corrective action	Schedule 5, Para-9.b	Being complied with. <i>Remarks: Will be followed</i>

	plan;		
	(c) Report any actual or potential breach of compliance with the measures and requirements set forth in the EMP, RP or CRIPP promptly after becoming aware of the breach.	Schedule 5, Para-9.c	Being Complied
Prohibited List of Investments			
10.	The Borrower shall ensure that no proceeds of the Loan are used to finance any activity included in the list of prohibited investment activities provided in Appendix 5 of the SPS.	Schedule 5, Para-10	Being complied.
Labor Standards. Health and Safety			
11.	The Borrower shall ensure that the core labor standards and the Borrower's applicable laws and regulations are complied with during Project implementation. The Borrower shall include specific provisions in the bidding documents and contracts financed by ADB under the Project requiring that the contractors, among other things: (a) comply with the Borrower's applicable labor law and regulations and incorporate applicable workplace occupational safety norms; (b) do not use child labor; (c) do not discriminate workers in respect of employment and occupation; (d) do not use forced labor; (e) allow freedom of association and effectively recognize the right to collective bargaining	Schedule 5, Para-11	Being complied.
Gender and Development			
12.	The Borrower shall ensure that	Schedule 5, Para-12	
	(a) The GESI Action Plan and the Community Awareness and Participation Plan are implemented in accordance with their terms;	Schedule 5, Para-12.a	Being Complied
	(b) The bidding documents and contracts include relevant provisions for contractors to comply with the measures set forth in the GESI Action Plan;	Schedule 5, Para-12.b	Being complied
	(c) Adequate resources are allocated for implementation of the GESI Action Plan;	Schedule 5, Para-12.c	Being Complied
	(d) Progress on implementation of the GESI Action Plan, including progress toward achieving key gender outcome and output targets, are regularly monitored and reported to ADB.	Schedule 5, Para-12.d	Being complied
Counterpart Support			
13.	The Borrower shall provide, as necessary, counterpart staff, land, facilities, and funding required for timely and effective implementation of the Project, including, without limitation, any funds required (a) To meet any shortfall between cost and revenues for the O&M of Project facilities; (b) To mitigate unforeseen environmental or social impacts; (c) To meet any additional costs arising from design changes, price escalation in construction costs and/or unforeseen circumstances. The Borrower shall make the resources thus required available on an annual basis for each fiscal year.	Schedule 5, Para-13. a-c	Complied with. <i>Remark: Sufficient counterpart staff and fund required for the project implementation have been provisioned through budgetary system on annual basis.</i>
14.	The Borrower shall ensure, or cause the Project Executing Agency to ensure, that each Municipality provides adequate funds from budgetary allocations or other sources to cover, at a minimum, O&M costs for the relevant Subproject(s) and Project facilities.	Schedule 5, Para-14	Being Complied with. Remarks: Under progress
Financial Sustainability			
15.	The Borrower shall ensure, or cause the Project Executing Agency to ensure, that the financial management action plan as set out in the PAM is implemented in accordance with the PAM.	Schedule 5, Para-15	Being Complied
16.	The Borrower shall ensure that the Municipalities will be responsible for the financial sustainability of the Subprojects.	Schedule 5, Para-16	Being complied.
17.	The Borrower shall ensure that the PCO regularly monitors and provides reports to ADB, at least semi-annually, the	Schedule 5,	Being Complied with. Remarks: Shall be

	progress on implementing the updated and approved business plan and comprehensive financial management improvement plan for each Municipality as referred to in paragraphs 28 and 29 below.	Para-17	followed.
18.	The Borrower shall ensure that, during detailed design stage, Bheemdatt, Biratnagar, Dhangadhi, Godavari and Shuklaphanta municipalities will adequately assess the possibility of an appropriate modality for engaging the private sector in O&M of the integrated solid waste management systems, including billing and collection system from the viewpoint of effective and efficient system operation, and reflect the findings in the bidding documents as appropriate.	Schedule 5, Para-18	Being Complied with. Remarks: Shall be followed.
20.	The Borrower shall ensure that each project municipality develops a municipal-wide asset inventory, and prepares a detailed asset management plan and operations and maintenance plan no later than 18 months prior to the scheduled completion of the construction work for the relevant Subproject. The Borrower shall ensure or cause MoUD to ensure that each Municipality selects or establishes a unit responsible for the solid waste management system, roads, sanitation and drainage systems, assigns an adequate number of staff, and ensures their training to a level and degree commensurate with the roles and functions of each Municipality in O&M.	Schedule 5, Para-19	Not yet due
Project Performance Management System			
21.	The Borrower shall ensure that, within 6 months from Effective Date, DUDBC shall establish a project performance management system satisfactory to ADB. The Borrower shall ensure or cause DUDBC to ensure that, within 12 months from Effective Date, framework for baseline data corresponding to indicators and targets set out in the GESI Action Plan, disaggregated by sex, caste, and ethnicity is established and data collection commenced.	Schedule 5, Para-20	Not Complied with. Remarks: in case of PPMS which is under preparation. Others-Not yet due
22.	The Borrower shall ensure that MoUD, DUDBC, and the Municipalities coordinate amongst themselves as appropriate and ensure that the quarterly and other reports to be prepared by the Municipalities and R-PIU are consolidated with the reports to be prepared by PCO and submitted to ADB in a timely manner.	Schedule 5, Para-21	Being complied with. Remark: MoUD, DUDBC, PCO, RPIU and municipalities are coordinating to submit reports on timely manner to ADB.
Subprojects			
23.	The Borrower shall ensure or cause MOUD to ensure, that Subprojects are implemented in a manner satisfactory to ADB.	Schedule 5, Para-22	Being Complied with. Remark: Implementation arrangements have been completed to ensure.
Municipalities			
24.	The Borrower shall enforce the cost-sharing for each Subproject and each municipality as set forth in detail in the PAM. The Borrower shall ensure that MOUD further ensure that the Municipalities secure budget allocation to recover at least project contribution and O&M expenditure through tariff collections for sewerage and solid waste management where relevant, and increases in revenue generation whether through changes in tax regime, and/or use of charges and/or other measures.	Schedule 5, Para-23	Being complied.
25.	The Borrower shall ensure that the Municipalities enforce their planning and building bylaws, and operationalize a digitized house numbering system at least 6 months before the relevant works contracts are due for completion	Schedule 5, Para-24	Not yet due
26.	The Borrower shall ensure that each Municipality puts in place the integrated property tax system, and implement the integrated property tax billing system at least 18 months	Schedule 5, Para-25	Not yet due

	before the relevant works contract is scheduled for completion		
27.	The Borrower shall ensure that each Municipality reflects in its budget and financial reports the revenues and expenses related to the Project	Schedule 5, Para-26	Being complied.
28.	The Borrower shall ensure that the Municipalities promptly implement the sanitation action plan, which includes septage management plan to improve regular cleaning of septic tanks and safe disposal of septage, and cost recovery mechanisms.	Schedule 5, Para-27	Being Complied with. Remarks: Shall be complied.
29.	For Biratnagar, Birgunj, Siddharthanagar and Nepalgunj municipalities, within 3 months of the Effective Date, the Municipalities shall have approved the comprehensive financial management improvement plan that has been developed by the Municipalities and ADB, and shall implement the first year revenue enhancement measures as specified in the plan within 12 months of the Effective Date and update the plan annually.	Schedule 5, Para-28	Complied.
30.	For Bheemdatt, Dhangadhi, Godavari and Shuklaphanta Municipalities, within 12 months of the Effective Date, the Municipalities shall have approved the comprehensive financial management improvement plan that has been developed by the Municipalities and ADB, and shall implement the first year revenue enhancement measures as specified in the plan within 18 months of the Effective Date and update the plan annually.	Schedule 5, Para-29	Not yet due.
Others			
30.	The Borrower shall ensure that the Municipalities and other entities responsible for the O&M of the systems developed or improved under the Project will secure and allocate adequate funds for proper O&M of the systems, by providing necessary technical and financial support.	Schedule 5, Para-30	Not due yet.
31.	The Borrower shall ensure that there will be complementarity and no overlap of activities between Subprojects and projects funded by other development partners.	Schedule 5, Para-31	Being complied.
32.	The Borrower shall ensure that an annual program is developed for the Urban Planning and Development Centre and budget allocated annually from fiscal year 2017-18. The Borrower shall also ensure that minimum staff is provided for the Centre as per the approved organizational structure.	Schedule 5, Para-32	Being Complied.
Governance and Anticorruption			
33.	The Borrower, MOUD and the Municipalities shall: (a) comply with ADB's Anticorruption Policy (1998, as amended to date) and acknowledge that ADB reserves the right to investigate directly, or through its agents, any alleged corrupt, fraudulent collusive or coercive practice relating to the Project; (a) cooperate with any such investigation and extend all necessary assistance for satisfactory completion of such investigation	Schedule 5, Para-33	Being complied.
34.	MOUD and the Municipalities shall ensure that the anticorruption provisions acceptable to ADB are included in all bidding documents and contracts, including provisions specifying the right of ADB to audit and examine the records and accounts of the executing and implementing agencies and all contractors, suppliers, consultants, and other service providers as they relate to the Project.	Schedule 5, Para-34	Being complied with. <i>Remark: The Anticorruption provisions of ADB are also included in all bidding documents and contracts.</i>
35.	Within 2 months after the Effective Date, DUDBC shall create a project website to disclose key project-related information, including costs, safeguards, procurement such as the list of participating bidders, name of the winning bidder, basic details on bidding procedures adopted, amount of contract awarded, and the list of goods/services procured.	Schedule 5, Para-35	Being complied with: <i>Remark: Project website is under re-construction with the support of PMC IT expert in consultation with PCO officials.</i>

	The website shall also include general Project information Project progress, and contact details for the Project Executing Agency's counterpart staff in Nepali and English languages, and shall link to ADB's Integrity Unit website at http://www.adb.org/integrity/complaint.asp for reporting to ADS (my grievances or allegations of corrupt practices arising out of the Project and/or Project activities. The Borrower shall cause the Project Executing Agency to ensure that all Project staff are fully aware of ADB's procedures, including, but not limited to, procedures for implementation, procurement, use of consultants, disbursements, reporting, monitoring, and prevention of fraud and corruption.		
36.	Within 12 months from the Effective Date, the PCO shall prepare a grievance redress mechanism, acceptable to ADB, and establish a special committee to receive and resolve complaints/grievances or act upon reports from stakeholders on misuse of funds and other irregularities, including grievances due to safeguards issues. The special committee shall (a) be independent of, and has no conflict of interest with, the Municipalities; (b) make public of the existence of this grievance redress mechanism; (c) review and address grievances of stakeholders of the Project, in relation to either the Project, any of the service providers, or any person responsible for carrying out any aspect of the Project; (d) proactively and constructively responding to them.	Schedule 5, Para-36	Being complied with. <i>Remark: There is Grievance Redress Mechanism and the Special Committee at PCO.</i>
37.	The Borrower shall ensure, or cause each of the Municipalities to ensure, that citizen charter shall be displayed at the Municipality's office and public audit shall be organized through regular and effective meetings at the community level.	Schedule 5, Para-37	Being complied with. <i>Remark: PCO, PIUs, RPIU and PISUs have displayed citizen charter. The municipalities are ready to carry out public audit on regular interval at the community level.</i>
38.	The Borrower shall ensure, or cause MOUD to ensure, that the PCO hires technical auditors affiliated with the national vigilance center to carry technical audit of Subprojects on random basis at least three times during project implementation. The technical auditor will share the report with MOUD and ADB on confidential basis. Contractors shall be made to disclose basic information of contracts in each construction site through a display board.	Schedule 5, Para-38	Complied with. <i>Remark: NVC has collected projects detail to conduct Technical Audit in this FY 2018/19</i>

Attachment 11: Major Issues and Proposed Action

AS of September 2018

A. Status of Three Critical Actions of Last Three Months

Issues	Actions	Responsibility	Time Frame
CSC not procured for Nepalganj & Birgunj	Should facilitate and procure urgently or prepare contingency plan and implement	PIU/PCO/ADB	Aug 2018, New time frame - Nov 2018
IDC not procured for P7 municipalities	Should facilitate, procure and mobilize	PCO/RPIU/ADB	Sep 2018, New time frame – Dec 2018
Plan, budget & implementation of P7's PBSDP for 2 nd Year not ready	Should get plan and budget on PBSDP; and disburse the approved budget	PCO/RPIUs/P7 municipalities	Sep 2018

B. Three Critical Actions Proposed for Next Three Months

Issues	Actions	Responsibility	Time Frame
Performance of DSC of P7 is lagging behind schedule in detailed design work and preparation of Bid Documents	Take necessary measures on DSC of P7 to get the critical task done	DSC/RPIU/PCO	October 2018
Preparation and implementation supports for P7 project municipalities through IDC are missing	Interim arrangement for bridging gap of IDC service to be provisioned urgently	PCO/RPIU/Municipality	November 2018
Plan, budget & approval of P7's PBSDP's grant and disbursement for timely implementation	Get program and budget on PBSDP; approve and disburse the approved budget to P7 municipalities	PCO/RPIUs/P7 municipalities	November 2018

Attachment 12: Planned and contractual Sub Project component of P7 Project Municipalities

As of September 2018

P7 Municipalities	WWTP (decentralized small bore), HH		Development of ISWM facilities, hh and hac		Road, km		Roadside drain, km		Footpath, km		Storm water Drainage, km		Buildings /Land	Other Program	Budget, US\$,mill	
	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract			Plan	Contract
Godawari	2,000	-	6600 (16.93 hac)	-	20.00		20.00						municipal office	PBSEDP,Urban Planning Building by-laws, GESI unit etc	16.10	
Bheemdatt	2,000	-	10700 (12.87 hac)	-	36.60		36.50						-	PBSEDP,Urban Planning Building by-laws, GESI unit etc	25.70	
Dhangadhi	3,000	-	10000 (12.87 hac)	-	34.00		34.00						Land	PBSEDP,Urban Planning Building by-laws, GESI unit etc	27.50	
Suklaphant	400	-	3400 (9.69 hac)	-	8.00		8.00						municipal office	PBSEDP,Urban Planning Building by-laws, GESI unit etc	8.10	
Total	7,400	-	30,700 (52.36 hac)	-	98.60	-	98.50	-	-	-	-		2 municipal building	PBSEDP,Urban Planning Building by-laws, GESI unit etc	77.40	

Note: Target as per BoQ

Attachment 13: Planned and Contractual Sub Project component of non P7 Project municipalities

As of September 2018

Non P7 Municipalities	Road Construction/Improvement (km)		Construction of Sewerage Pipeline, km		Roadside Drainage, km		Water supply pipelines, km		Footpath, km		Development of ISWM facilities		Strom water drainage, km		Roadside Plantation. Km		HH Sewer Connection, hh		Solar Streetlight (km)		Budget, US\$,mill	
	Plan	Contact	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract	Plan	Contract
Biratnagr	73.86	73.86	20.08	20.08	26.05	26.05	-	-	26.50	26.05	18.96 hac about 7500	-	0.65289	0.6529	-	-	7,500.0	3,784.0	1.00	-	25.10	
Birgunj	21.35	21.35	-	-			-	-	30.12	-	-	-	21.35	-	-	-			11.14	-	20.90	
Siddarthanagr	34.87	25.44	-	-	52.00	49.82	-	-	34.87	7.65	-	-	-	6.01	-	-			-	-	20.70	
Nepalgunj	36.56	36.70	-	-	58.76	59.92	-	-	36.56	-	-	-	-	-	-	-			-	-	15.70	
Total	166.64	157.35	20.08	20.08	136.81	135.79	-	-	128.05	33.70	-	-	22.00289	6.66	-	-	7,500.00	3,784.00	12.14	-	82.40	

Attachment 14: RUDP Project Result and Profile

Tables 1 and 2 give information on targets and achievements both for P7 and non P7 RUDP project municipalities. Report for non P7 municipalities cover Biratnagar, Birgunj, Siddharthanagar and Nepalgunj while hiring process of CSC for Birgunj and Nepalgunj is undergoing. Implementation of activities seems to be more concentrated on Output 1 and its activities compared to other activities. Implementation of output 2 except construction of municipal building has lagged behind resulting from delay in hiring Institutional Development Consultants (IDC) to provide support to implement the activities given under outputs 2 of the project. Planning for the Implementation of output 3 is being taken up by a separate entity.

Table 1: Achievements against Targets for P7 Municipalities

Outputs/Activities	Targets	Achievements	Remarks /comments
1.1 Storm water Drainage construction, (Km)	NA	a) Master Plan of Godawari and Suklaphanta b) Detailed Design & Estimate of Godawari and Suklaphanta	a) Preparation of Master Plan of Dhangadi and Bheemdutt in progress b) Detailed Design & Estimate of Dhangdi & Bheemdutt in progress
1.2 Urban Roads construction (Km)	116.894	a) Master Plan of Godawari and Suklaphanta b) Detailed Design & Estimate of Godawari and Suklaphanta	a) PPTA gives a target of 107.8 Km., but actual survey gives 116.894Km, b) Preparation of Master Plan of Dhangadi and Bheemdutt ongoing c) Detailed Design & Estimate of Dhangdi & Bheemdutt in progress
Road side drains (Km)	233.788	a) Exiting drainage work is being prepared and assessed	a) Targets for road side drainage has been estimated as two times the road length
1.3 (a) Development of Sanitary land fill sites	4 sites, one in each Municipality	a) IEE ToR for Suklaphanta ISWM site under screening in MoUD	a) Dhangadhi & Suklaphanta: Topographical survey done and preliminary design ongoing, b) Bheemdutt: Identified site by PPTA was abandoned and new site has been proposed, c) Godawari: Site is located in the course of Godawari river having flooding risk and suggested to extend towards north-east (upstream).
1.3 (b) Integrated Solid Waste Management	30,700 households	a) HH surveys completed for information on SWM	a) Preliminary works on assessing SWM, composition, per capita

(ISWM)			generation available for all municipalities b) Awareness program on 3R to be resumed by IDC in P7 project municipalities
1.6 Septage treatment facilities constructed	All 4 municipalities	a) Preliminary design of septic tanks, institutional arrangements and public toilets prepared	b) DSC has submitted proposal for quantification and characterization of fecal sludge
1.7 Municipal office buildings	2 municipalities	a) Detail Architectural/Structural design, costing and preparation of Bid Documents are under PMC review.	a) Due to the change in GON requirements and increase in construction cost the buildings have to construct in packages.
1.7 Socio-economic Infrastructure developed under PBSEDP	30% of PBSEDP budget	a) Overall 15.61%% of total spent budget under 1 st year PBSEDP has been used in the development of socio-economic infrastructure & GESI related activities	a) 2 nd year PBSEDP grant has been recommended based on self-performance evaluation of P7 municipalities
2.1 Development of Urban Development Plans, Planning and Building By-Laws	All 4 municipalities	a) Draft Urban Development Plan prepared b) Digital Base map prepared	a) Preparation of Final Urban Planning report of P-7 project municipalities are in progress
2.2 O & M plans prepared	All 4 municipalities		On Planning stage
2.3 GIS System operational with House numbering	All 4 municipalities (80% of HH)		On planning stage
2.4 Computerized property Tax system established and encoded	4 municipalities of P7 (70% of property)		On planning stage

Table 2: Achievements against Contractual quantity for non P7 Municipalities

Activities	Biratnagar		Siddharthanagar		Nepalgunj		Birgunj	
	Contract	Achievement	Contract	Achievement	Contract	Achievement	Contract	Achievement
1.1 Storm water Drainage, km	0.65289	0.570	6.01 km	4.38		-	21.35	
1.2 Roads, km	73.086	14.167	25.442	2.071	27.38	10.164	21.35	
Asphalt Concrete, km	73.0086	14.167		0.926	27.38	10.164		
RCC, km	-	-		1.475		-		
Base Course, km	73.086	18.398		0.926		11.65		
Sub Base, km	73.086	24.153		10.566		13.32		
Roadside Drain, Km	26.05	12.544	49.82	39.22	39.93	27.908		
Kerb stone, km		61.285		11.051	-	5.30		
Water supply pipe lines reinstalled on both sides of the road, km			50.76	21.072	53.231	102.02	0.279	
Electricity/Telephone poles reinstalled, nos				391		-		
Footpath, Km	26.50	0.900	34.87	0.300	36.56		30.12	
1.4 HH connected to sewerage system, HH	7,500		-	-	-	-	-	-
1.5 Sewerage pipeline, Km	20.082	8.191	NA	-	NA	-	NA	-
2.3 GIS system operational with House numbering in core area	80% of HH	ToR to hire GIS prepared	-	-	-	-	-	-
2.4 Computerized property Tax system encoded	70% of property	ToR to hire GIS prepared	-	-	-	-	-	-
2.5 Detailed survey, design & Bid Document preparation for ISWM site	18.86 hac		NA	-	Na	-	NA	-

Note: The Contract data are extracted from the agreement, BoQs and MPRs.

Attachment 15a: Procurement Plan (PP) for Consulting Services
 [2016 to 2018]

Project Name: Regional Urban Development Project Loan No.: 3566 NEP (COL)													Executing Agency: MOUD/DUDBC Implementing Agency: PCO and Project Municipalities										
No	Description of Services & Contract ID No.	Prior/ Post Review	PP Status	Cost Estimate	Method of Selection	Advertising for EOI	EOI Submission	Short list & RFP to ADB	ADB's NOL to SL & RFP	RFP Issued	Proposal Submission	TP Eval. Report to ADB	ADB's NOL to TP Eval. Report	Public Opening of FP	FP Eval. Report to ADB	ADB's NOL to FP Eval. Report	Negotiated Contract to ADB	ADB's NOL to Nego. Contract	Contract Awarded Date	Contract Price (NRs/US\$ mil)	Name of Consultant	PCSS No.	Procurement at Center/ District
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
1	Design Supervision Consultant (DSC), P7-Dhangadhi;RUDP/D SC/MoUD/S-01	Prior	P	US\$ 2.21 mill	QCBS	6/07/16		17/07/16	28/07/16	4/08/16	18/09/16	10/10/16	21/10/16	4/11/16	19/11/16	28/11/16	29/12/16	3/01/17	17/01/17	NRs283,736,785.00	Building Design Authority (P) Ltd, ITECO, Soil Test and Uday Consultancy JV		Centre
			A	NRs. 304,670,035.00	QCBS	26/07/16		23/11/16	05/12/06	06/12/16	19/02/17	16/06/17	20/06/17	30/06/17	05/07/17	10/07/17			27/08/17				
2	Construction Supervision for Sewerage, Roads, Drainage work and Design of Integrated SWM, Biratnagar;;RUDP-DSC/BRT/02	Prior	P	US\$ 0.66 mill	QCBS	27/10/16	27/11/16	20/12/16	20/01/17	25/01/17	15/03/17	1/04/17	8/04/17	20/04/17	25/04/17	1/05/17	1/06/17	10/06/17	17/06/17	NRs111,494,275.00	Building Design Authority (P) Ltd, B.N. Consultancy, Plus engineers JV		District
			A	NRs. 91,634,500.00	QCBS	27/10/16	25/11/16			20/03/17	25/04/17			7/07/17				6/08/17					
3	Project Management Consultant (PMC), PCO; RUDP/PMC/MoUD/S-02	Prior	P	US\$ 1.24 mill	QCBS	19/08/16		30/08/16	10/09/16	17/09/16	01/11/16	23/11/16	04/12/16	18/12/16	02/01/17	11/01/17	11/02/17	16/02/17	02/03/17	NRs175,606,279.31	ERMIC (P) Ltd		Centre
			A	NRs. 173,030,203.62	QCBS	18/10/16		13/03/18	25/04/17	16/05/17	29/06/17	11/08/17	25/08/17	08/09/17	13/09/17	10/11/17		26/12/17	27/12/17				
4	Construction Supervision	Pri	P	US\$ 0.39 mill	QCBS	08/10/16	07/11/16	15/01/17	08/02/17	26/03/17	25/04/17	23/05/17	04/10/17	16/10/17	02/11/17	12/12/17	24/12/17	29/01/18	29/01/18	NRs48,247,497.00	BDA Nepal (P) Ltd in Association		District

	Consultant (CSC), PIU-Siddharthanagar; RUDP/CSC/SM-01		A	NRs. 51,647,667.00	QCBS				10/03/17	26/03/17	25/04/17	23/05/17	04/10/17	16/10/17	02/11/17	12/12/17	24/12/17		29/01/18			with Garima International, Design Associates Nepal (P)		
5	Construction supervision consultant for Nepalgunj drainage, roads, and footpath improvement works: RUDP/ CSC/NEG/2	Prior	P	US\$ 0.40 mill	QCBS		28/6/17	9/07/17	20/07/17	27/7/17	17/08/17	12/09/17	23/09/17	07/10/17	22/10/17	01/11/17	02/12/17	06/12/17	21/12/17					District
			A	NRs. 53,637,710.00	QCBS		04/7/17	17/9/17	2/2/18	6/2/18	23/3/18	18/04/18	23/08/18			05/10/18	15/10/18							
6	Construction & supervision consultant for Birgunj municipal drainage, roads & footpath improvement works: RUDP/ CSC/BRJ/1	Prior	P	US\$ 0.42 mill	QCBS	12/10/17	10/11/17	13/11/17	20/11/17	27/11/17	01/12/17	31/12/17	07/02/18		22/02/18	01/03/18	15/03/18	22/03/18	29/03/18					District
			A	NRs. 64,219,595.00	QCBS			08/01/18	19/03/18	26/03/18	24/04/18	26/06/18	24/08/18			11/09/18	07/10/18	14/10/18						
7	Institutional Development Consultant for Far Western Region: RUDP /IDC/1	Prior	P	US\$ 1.62 mill	QCBS	19/08/16		30/08/16	17/09/16	01/11/16	22/11/16	03/12/16	18/12/16	02/01/17	10/01/17	28/01/17	15/02/17	09/03/17	16/03/17					Center
			A	Nrs. 236,764,945.00	QCBS			20/06/17					18/12/17			06/03/18	07/03/18							
8	International Solid Waste Management Specialist: RUDP/ PCO/ ICS 4	Prior	P	US\$ 90,000	ICS			Q3/17																Center
			A	NRs. 16,434,000.00	ICS																			

Abbreviations: P = Planned, A = Actual, NOL = No Objection Letter, NA = Not Applicable, EOI = Expression of Interest, SL = Short List, RFP = Request for Proposal, TP = technical proposal, FP = Financial proposal.

Attachment 15b: Procurement Plan (PP) for Works [2017 to 2022]

Project Name: Regional Urban Development Project Loan No.: 3566 NEP(COL)										Executing Agency: MOUD/DUDBC/PCO Implementing Agency: BRT, BRG, SN, NEG and RPIU								
No.	Description of Works & Contract ID No.	Prior/ Post Review	PP Status	Cost Estimate	Method of Procurement	Bid document to ADB	ADB's NOL to Bid Doc	Bid Invitation	Public Opening of Bids	BER to ADB	ADB's NOL to FBER	Letter of Acceptance	Contract Awarded Date	Name of Contractor/ supplier	Contract Price (NRs/US\$ mil)	PCSS No.	Completion date of the contract implementation	Procurement at center/ district
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1	Construction of Road, Drainage, bypass road, bus bays etc (RUDP/ICB/BRJ/1) Birgunj Metropolitan city	Prior	P	US\$ 18.07 mill	ICB		06/06/17	19/07/17	01/09/17	23/10/17	30/10/17	07/12/17	07/12/17	ZTSJ -Kalika JV (Zhong Tie Si Ju Group Co. Ltd-Kalika Construction (P) Ltd, Baluwatar	NRs. 2,349,266,305.13			District
			A	NRs.	ICB								11/5/18					
2	Construction of Sewerage, Drainage, Road, Footpath & Road Furniture (RUDP/ICB/BRT/2) Biratnagar Metropolitan city	Prior	P	US\$ 21.55 mill		9/12/16								ZHONGHENG Construction Group Int. Engg. Company Ltd., Beijing China	Nrs. 2,611,092,249.63		29/10/19	District
			A	NRs. 2,656,409,021.06	ICB	9/07/17					16/08/17	4/10/17	10/10/17					
3	Construction of Road Drainage, Footpath & Road Furniture (RUDP/ICB/NEG/3) Nepalgunj sub-Metropolitan City	Prior	P	US\$ 13.51 mill	ICB									Kalika & ZTSJ JV Kathmandu	Nrs. 1,635,298,106.35		16/08/20	District
			A	NRs. 1,711,113,327.96	ICB								28/02/18					
4	Construction of Drainage,		P	US\$ 17.88 mill	ICB	06/	19/0	01/0	23/10	30/1	07/1	07/1						District

	Road, Footpath & Road Furniture (RUDP/ICB/SN/4) Siddharthanagar Municipality				06/17	7/17	9/17	1/17	0/17	2/17	2/17						
		A	NRs. 2,382,643,829.49	ICB	06/06/17	19/06/17	01/09/17	15/03/18				05/07/17	China Guangzhou and Sharma company Ltd JV Sitapaila, Kathmandu	Nrs. 2,165,399,624.62		22/12/19	District
5	Kanchanpur (Bheemdatt and Suklafanta) drainage, roads, (RUDP/ ICB/ KC/ 5) footpath, and WWM	P	US\$ 21.03 mill	ICB													
		A															
6	Kailali (Dhangadhi and Godawari) drainage, roads, footpath & WWM, (RUDP/ ICB/ KL/6)	P	US\$ 23.96 mil	ICB													
		A															
7	Bheemdatt and Suklaphanta ISWM, (RUDP/ ICB/ BS/7)	P	US\$ 6.57 mill	ICB													
		A															
8	Dhangadhi and Godawari ISWM, (RUDP/ICB/DG/8)	P	US\$ 8.76 mill	ICB													
		A															
9	Godawari and Suklaphanta Municipal Building RUDP/NCB/GS/9	P	US\$ 1.59 mill	NCB													
		A															

Abbreviations: P = Planned, A = Actual, BER = Bid Evaluation Report, NOL = No Objection Letter, NA = Not Applicable.

Attachment 15c: Procurement Plan (PP) for Goods/Small Works [2017 to 2018]

Project Name: Regional Urban Development Project Loan No.: 3566 NEP(COL)										Executing Agency: MOUD/DUDBC/PCO Implementing Agency: PCO, RPIU and PISUs								
No.	Description of Works & Contract ID No.	Prior/ Post Review	PP Status	Cost Estimate (NRs/US\$ mil)	Method of Procurement	Bid document to ADB	ADB's NOL to Bid Doc	Bid Invitation	Public Opening of Bids	BER to ADB	ADB's NOL to BER	Letter of Acceptance	Contract Awarded Date	Name of Contractor/ supplier	Contract Price (NRs /US\$ mil)	PCSS No.	Completion date of the contract implementation	Procurement at center/ district
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1	Vehicles (PCO, R-PIU, PISUs, PIUs): RUDP/ PCO/ G 1	Prior	P	US\$ 0.33 mill	ICB/NCB			Q2/ 17										
			A	Nrs. 16,814,159.2	NCB		03/08/17	18/12/17		05/03/18	12/04/18							
2	Motorcycles (PCO, R-PIU, PISUs, PIUs): RUDP/ PCO/ G 2	Post	P	US\$ 0.05 mill	Shopping			Q2/ 17										
			A	NRs. 4,920,000.00	Shopping			2/04/18										
3	Office furniture–PCO: RUDP/ PCO/ G 3	Post	P	US\$ 0.04 mill	Shopping			Q2/ 17										
			A	NRs. 1,915,300.00	Shopping									9/07/17	NRs. 1,915,300.00			
4	Office equipment– PCO: RUDP/ PCO/ G 4		P	US\$ 0.09 mill	Shopping			Q2/ 17										
			A	NRs. 1,399,844.00	Shopping									9/07/17	NRs. 1,399,844.00			
5	Office equipment (R-PIU, PISUs): RUDP/ R-PIU/ G1	Post	P	US\$ 0.12 mill	Shopping			Q2/ 17										
			A	NRs. 1,300,000.00	Shopping											NRs. 1,300,000.00		
6	Community Participation - Small works: RUDP/ FWR/ CP/ 1-40	Post	P	US\$ 1.5 mill	Shopping			Q3/ 18										
			A															

Abbreviations: P = Planned, A = Actual, BER = Bid Evaluation Report, NOL = No Objection Letter, NA = Not Applicable

Attachment XVI: Grievances and their status P7 Municipality

Municipality	Date of registration	Description of Grievances	Grievances received through (Written/Verbal /Telephone)	Name of complaint (Address and contact number)	Present status		Remarks
					If resolved, date	Under Screening	
Suklaphanta	2074/11/20 (4 th March 2018)	Lack of project supportive programme/ activities in SWM area (specially ward no. 11)	Verbal	By Chairperson and local people of ward no. 11		√	Activities / programme will be conducted through PBSEDP
Suklaphanta	2075/01/31 (14 th May 2018)	Proper drainage system should be provided in land pooling area i.e. Simalphanta	Verbal	By Chairperson of ward no. 7		On consultation process	
Bheemdutta	2075/01/19 (8 th Aug. 2018)	Lack of adequate information on land field site, so disseminate the knowledge about land field site to local people.	Verbal	By people of Ramtol settlement ward no 9	9 th May 2018 Presentation on land field site was conducted at Ramtol community and others concerned stakeholders		Required the continuous effort to solve the land field site problems.
Bheemdutta	2075/04/23 (8 th Aug. 2018)	# Jhilmili CFUG complain on published news (National Daily Newspaper published a news on proposed land field site) # Protest against Integrated Solid Waste Management Center construction location.	Written	The Executive Committee, Jhilmili CFUG	Consultation meeting with local community was carried out in ward no. 8 and clarify on difference in between Solid Waste Management Center and Dumping Site		

Municipality	Date of registration	Description of Grievances	Grievances received through (Written/Verbal/Telephone)	Name of complaint (Address and contact number)	Present status		Remarks
					If resolved, date	Under Screening	
Bheemdutta	2075/05/05 (21th Aug. 2018)	In Campus road to Jailghar road, existing alignment has not been followed. The alignment should direct towards left rather than right side (Siddhanath Mandir to Adarsha Viddhya Niketan School section)	Verbal	By Lok Raj Pant, Social worker, Bhagatpur, Ward no. 18		√	Only site observation work has been carried out in the section. It is assured that the survey work will be conducted after consultation with local people.

Photographs



Sub-base work at Main Road, Biratnagar



Sewer inlet works, Biratnagar



Drain crossing works at Rani, Biratnagar



Relocation of water supply pipes, Biratnagar



Completed Road and Drain Section at Paraspur, Dondra Nala Road, Nepalgunj



Brick masonry drain construction work (Karkando to Bus park Road), Nepalgunj



Drain construction work at Rapti Khola Road, Nepalgunj



Asphalt concrete work at Charbahini to Nagarbhawan Road, Nepalgunj



Capping layer for sub base course Bimanghat Path, Siddarthanagar



RCC Pavement at Rudra Path, Siddarthanagar



Removing unstable soil from Bimanghat road, Siddarthanagar



Kerb stone laying for Goniya Road, Siddarthanagar



Visit to proposed ISWM Facility, Dhangadhi Sub Metropolitan City



Ponding of water in proposed ISWM Facility of Godavari Municipality